FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1508790-000

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FD-487 (Rev. [redacted])

NCIC ACTIVITY LOG

(Instructions - Reverse Side)

Universal Case File No. 290-DL-110167

Date	Activity	Serial	Name or Description	NCIC Number	OCA Number	Date Retention Period Expires	Miscellaneous Information
2/9/11					290D-DL-110167		b6 b7C
2/10/11					"		

Validation: (Date/Initials)

1	5	9
2	6	10
3	7	11
4	8	12

Top Serial - Not To Be Serialized

In[redacted]tions

1. **Entry - NC[redacted]**
   a. Supervisor stamp serial containing entry information, check entry, initial and route to NCIC operator.
   b. NCIC operator make local and/or NCIC checks including III for additional information, make entry, route serial and printout to rotor clerk. (NCIC operator may stamp jacket, insert NCIC Activity Log as top serial in file and complete "entry" line.)
   c. Rotor Clerk serialize printout, stamp jacket, insert NCIC Activity Log as top serial in file and complete "entry" line if not already accomplished by NCIC operator. Note: NCIC Activity Log is to be maintained as the top serial in the current volume of case file.
2. **Modify - NCIC**
   a. Supervisor stamp serial containing modify information, check modify, initial and route to NCIC operator.
   b. NCIC operator modify record, route serial and printout to rotor clerk. (NCIC operator may complete "modify" line on NCIC Activity Log.)
   c. Rotor clerk serialize printout and complete "modify" line on NCIC Activity Log, if not accomplished by NCIC operator.
3. **Clear/Cancel - NCIC**
   a. NCIC operator clears/cancels record upon notification by case agent or supervisor, routes printout to rotor clerk. (NCIC operator may complete "clear/cancel" line on NCIC Activity Log.)
   b. Rotor clerk serializes printout, completes "clear/cancel" line on NCIC Activity Log and makes slash marks (NCIC) through NCIC stamp of file jacket.
4. ***Validation - NCIC***
   a. When validation printout is received from NCIC, an agent (or clerk if case is in closed status) will review the NCIC entry to insure that it is complete and accurate. Any discrepa[redacted] should be resolved by an appropriate agent and, if necessary, a modify message should be initiated to update and/or correct the record. When validation is complete, reviewer will date and initial NCIC Activity Log.

Retention Periods

Unless otherwise removed, records will be retained in file as follows:

Article File
Remainder of the year of entry plus one year.

Boat File
Remainder of the year of entry plus four years.
Records entered without BHN or OAN will remain in the file 90 days.

Deported Felon File
Remains on file until such time as INS Headquarters takes action to remove it.

Foreign Fugitives/Canadian Warrant File
Retained indefinitely until entering agency removes record from file.

Gun File
Retained indefinitely until entering agency removes record from file.
Recovered weapons are retained for the remainder of the year of entry plus two years.

Interstate Identification Index
Retained until the individual reaches the age of 99.

License Plate File
Retained in file for one year after the end of the plate's expiration year.
Nonexpiring license plates remain in file for the remainder of the year of entry plus four years.

Missing Person File
Retained indefinitely until action is taken by the entering agency to clear the record.
This includes unemancipated juvenile records.

Protection Order File
Active records - Retained until canceled or cleared by entering agency or expiration.
Temporary records will remain active for a maximum of one year from the date of entry.
Inactive records - Retained on-line for the remainder of the year in which cleared or expired plus five years.

Securities File
Retained for the remainder of the year of entry plus four years, except records for traveler's checks and money orders. These records will be retained for the remainder of the year of entry plus two years.

U.S. Secret Service Protective File
Retained indefinitely until action is taken by the U.S. Secret Service to remove it.

Unidentified Person File
Retained indefinitely or until removed by entering agency.

Vehicle File
Retained for the remainder of the year of entry plus four years.
Records entered which do not contain VINs or OANs will remain in file for 90 da[redacted] after entry.
Felony vehicles will remain in file for 90 days after entry.
Stolen parts (VIN plates, harrows, or boat motors) which contain an OAN or SER will remain in file for the year of entry plus four years.

Violent Gang and Terrorist Organization File
GMC records - Automatically purged 5 years from the date of entry or when an entering agency removes its entry, providing that agency is the sole agency of interest for the group/subgroup.
Only records entered by corrections agencies remain past five years.
GRC records - Single interest - indefinitely or until removed by entering agency.
Multiple Interests - indefinitely or until all agencies remove their records.

Wanted Person File
Retained until action is taken by the originating agency to clear/cancel the record.
Temporary felony want records will be automatically removed from file after 48 hours.

(Rev. 05-01-2008)

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 11/01/2010

To: Dallas

From: Dallas
C1
Contact: SA [redacted] b6 b7C b7E

Approved By [redacted] Case Agent [redacted]

Drafted By [redacted]

Case ID #: 290-DL-110167-1

Title: [redacted]
BANK OF NEW YORK MELLON - VICTIM;
FINANCIAL INSTITUTION FRAUD

DATE 11/3/10

Synopsis: Request to open a new case and assign it to SA [redacted]

Details: The Dallas Division was contacted by the corporate security investigations group of The Bank of New York Mellon who provided information that they had been defrauded by an employee named [redacted] is employed as [redacted] and her responsibilities include [redacted] b6 b7C

[redacted] is described as follows:

Name: [redacted]
aka:
DOB:
SSN:
Address:

b6 b7C

[redacted] made twenty three wire transfers in the total amount of $2,173,907.35 from Bank of New York Mellon accounts to her personal account at Chase Bank between December 31, 2009 and September 21, 2010. The illegal wire transfers were discovered during an internal audit on October 21, 2010. When [redacted] found

UNCLASSIFIED

290- DL- 110167-1

305 [redacted] 01. cc

b6 b7C

To: Dallas From: Dallas
Re: 290-DL-, 11/01/2010

out about the audit and their findings she fled work and has not returned.

AUSA [redacted] was present for the meeting with Bank of New York Mellon investigators [redacted] b5 b6 b7C

♦♦

~~29A-DL-110167~~

290-DL-110167-2

b6
b7C

AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

UNITED STATES DISTRICT COURT

Northern District of Texas

TO:

[redacted]

SUBPOENA TO TESTIFY
BEFORE GRAND JURY

b3

SUBPOENA FOR:

[redacted]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
1100 Commerce Street, 3rd Floor Dallas, Texas 75242	GRAND JURY ROOM
	DATE AND TIME [redacted] b3

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

PLEASE SEE ATTACHMENT

☐ Please see additional information on reverse.

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK		DATE
Karen Mitchell (By) Deputy Clerk		11/5/2010

This subpoena is issued on application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
	[redacted] Assistant United States Attorney b6 b7C 1100 Commerce Street, 3rd Floor, Dallas, Texas 75242 [redacted]

* If not applicable, enter "none".

[redacted] b3

[redacted] b3

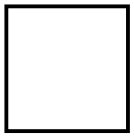
ATTACHMENT TO GRAND JURY SUBPOENA TO

01 November 2010

[redacted] b3 b6 b7C

Please direct any questions to SA [redacted] FBI Dallas, One Justice Way, Dallas, Texas 75220, [redacted] b6 b7C b7E

290-02-110167-3



b6
b7C

AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

UNITED STATES DISTRICT COURT

Northern District of Texas

TO:

[redacted]

SUBPOENA TO TESTIFY
BEFORE GRAND JURY

b3

SUBPOENA FOR: [redacted]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
1100 Commerce Street, 3rd Floor Dallas, Texas 75242	GRAND JURY ROOM DATE AND TIME [redacted] b3

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

PLEASE SEE ATTACHMENT

☐ Please see additional information on reverse.

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK		DATE
Karen Mitchell (By) Deputy Clerk		11/5/2010

This subpoena is issued on application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
	[redacted] Assistant United States Attorney b6 b7C 1100 Commerce Street, 3rd Floor, Dallas, Texas 75242 [redacted]

* If not applicable, enter "none".

[redacted] b3

[redacted] b3

ATTACHMENT TO GRAND JURY SUBPOENA TO

01 November 2010

[redacted] b3 b6 b7C

Please direct any questions to SA [redacted] FBI Dallas, One Justice Way, Dallas, Texas 75220, [redacted] b6 b7C b7E

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/15/2010

In response to a Federal Grand Jury subpoena [redacted] provided the Dallas Division with the following

b3
b6
b7C

[redacted]

[redacted] were placed into a 1A envelope to the file.

Investigation on 11/15/2010 at Dallas, Texas

File # 290-DL-110167 - 4 Date dictated

by SA [redacted]

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-919 (Rev. 06-05-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 01/03/2011

To: Dallas

From: Dallas
ODC
Contact: [redacted] b6 b7C b7E

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: [redacted] (Pending) b3 b7E
290-DL-110167 (Pending)

Title: VICTIM NOTIFICATION FORM

Synopsis: Financial Matters

Reference: 290-DL-110167 Serial 1

Details:

VnsCase#: 290-DL-110167
CAgtName: [redacted]
PContact: Business
BusName : Bank of New York Mellon
BusEIN : 000000000
BusAcct :
VicFirN : [redacted] b6 b7C
VicMidN :
VicLastN: [redacted]
SSAN :
VicDate : 20101207
VicDOD :
VicMinor:
DOB :
Race :
Sex :
Addr :
Addr2 :
City :
State :
Country :
Zip :
Email :
HPhone :
Fax :

290-DL-110167-5

b3
b7E

VWrkAddr: 120 Broadway 19th Floor
VWrkadd2:
VWrkCity: New York
VWrkSt : NY
VWrkCtry: US
VWrkZip : 10286
WEmail :
WPhone :
WFax :
VicPager:
NOKFirN :
NOKMidN :
NOKLastN:
NOKRel :
NOKAddr :
NOKAddr2:
NOKCity :
NOKState:
NOKCtry :
NOKZip :
NOKHEmal:
NOKWEmal:
NOKHPho :
NOKWPho :
NOKHFax :
NOKWFax :
NOKPager:
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GrdMidN :
GrdLastN:
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GrdAddr :
GrdAddr2:
GrdCity :
GrdState:
GrdCtry :
GrdZip :
GrdHEmal:
GrdWEmal:
GrdHPho :
GrdWPho :
GrdHFax :
GrdWFax :
GrdPager:
PropRet : N
TotLoss : 000000000
Lang. : EN
Disable :
♦♦

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/06/2011

[redacted] date of birth [redacted] social security account number [redacted] was interviewed at [redacted] telephone number [redacted] After being advised of the nature of the interview and the identities of the interviewing Agents, [redacted] provided the following information: b6 b7C

[redacted] has known [redacted] and [redacted] and considers them friends. [redacted] worked at [redacted] worked at a bank in finance, but never said exactly what she did. [redacted] About one year ago [redacted] and [redacted] began spending a lot of money. [redacted] b6 b7C

[redacted] worked on four different properties for [redacted] never really said where her money came from. On several occasions when [redacted] needed large construction draws [redacted] told [redacted] that she would have to sell some stocks to get the money. b6 b7C

[redacted] was shown a large aerial photo of [redacted] four properties. [redacted] did not recall the specific numerical address of each property, but was able to point them out on the map. [redacted] identified and provided the following information on each property:

[redacted] b6 b7C

Investigation on 01/04/2011 at Dallas, Texas

File # 290-DL-110167 [redacted] Date dictated [redacted] b6 b7C

by SA [redacted]

SA

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted]

b6
b7C

These are the only four properties that [redacted] is aware [redacted] owns. [redacted] received [redacted]

[redacted]

b6
b7C

[redacted] saw [redacted] buy a brand new King Ranch F250 that was only for her to drive. Recently [redacted] sold the King Ranch F250. [redacted] bought a newer Dodge dually pickup in the last year

b6
b7C

that he drives. [redacted] isn't aware of when the other cars were purchased, but there are a lot of nice cars around all of the homes.

[redacted] began buying rodeo bulls [redacted] All of the money for the family and the construction projects came from [redacted] didn't think that [redacted] had much money [redacted] b6 b7C

In the last two months [redacted] has begun selling things. [redacted] told [redacted] that all of [redacted] and [redacted] bank accounts had been frozen. [redacted] sold most of the cows and some of the horses he had. [redacted] tried to sell some horses to [redacted]

[redacted] provided the telephone number of [redacted] for [redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/06/2011

[redacted] Bank of New York Mellon, 600 East Las Colinas Boulevard, Suite 1300, Irving, Texas, 75039, telephone number [redacted] cellular telephone [redacted] was interviewed at her place of employment. Also present during the interview was [redacted] supervisor, [redacted], [redacted] After being advised of the nature of the interview and the identity of the interviewing Agent, [redacted] provided the following information: b6 b7C

[redacted] was [redacted] for the last six years. [redacted] began working for Bank of New York Mellon (BNYM) in New York approximately [redacted] [redacted] has worked for [redacted] and was already employed when [redacted] was employed [redacted] b6 b7C

[redacted]

[redacted] was known in the bank as a very trustworthy go to person with knowledge of how to get things done. [redacted] had extensive knowledge because of her long service with the bank. [redacted] had an intimate knowledge of bank procedures and was a highly trusted employee.

One of [redacted] responsibilities was to help clear transactions out of a foreign currency account [redacted] and to clear out various internal suspense accounts. [redacted] would typically prepare supporting documentation for transfers to clear out the accounts. [redacted] would prepare, or direct her subordinates to prepare a wire transfer request. [redacted] would attach various supporting items to the wire transfer request including invoices and emails. The wire transfer requests were signed by [redacted] and a subordinate, and were then sent to the wire transfer department. b6 b7C

The wire transfer department would then prepare the transfer and have three separate people sign the transfer. The

Investigation on 01/06/2011 at Dallas, Texas

File # 290-DL-110167-1 [redacted] Date dictated

by SA [redacted] b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted] 92.312

290-DL-110167-7

 b6 b7C

three employees would separately sign that they had verified, entered, and released each wire.

[redacted] scheme was very elaborate, and the bank is still not entirely sure of how she was able to pull it off. The bank has hired a forensic accounting firm to go back and recreate every transaction. [redacted] did not simply wire transfer money to herself. First [redacted] would move money into and out of various internal accounts in amounts different to what she transferred to herself. [redacted] would create various fake emails and invoices to facilitate the fraud. [redacted] would insert her personal account number into the area where the customers account number would go.

[redacted] b6 b7C b7E

[redacted] is a very private person, and did not dress extravagantly or flash a lot of money. In the middle of 2008 [redacted] married [redacted] and some of her coworkers went to the wedding. The wedding may have been held at [redacted] or her family members were always having medical problems, and [redacted] took a lot of sick leave. b6 b7C

The bank first became suspicious of [redacted] in July 2010. Some funds were transferred out of a Euro account into Brussels for which [redacted] had submitted an explanation and wiring instructions for. One of the bank employees that was responsible for the account noticed that [redacted] transfer was in error. [redacted] submitted an explanation for the mixup, but quickly took sick leave. On August 28, 2010, [redacted] took six weeks of sick leave [redacted] b6 b7C

On October 18, 2010, a routine internal audit was initiated, and several of the documents selected for review by the auditors were foreign exchange transactions initiated by [redacted] On October 22, 2010, [redacted] asked her employee, [redacted] to pull all of the transaction documents that the auditors had requested, and to give them to her. [redacted] then said b6 b7C

that [redacted] and went on sick leave again. On October 25, 2010, [redacted] began calling [redacted] to ask where the documents were. [redacted] said that she thought she had left the documents in her drawer, and that if they were not there maybe they were in her briefcase at home. [redacted] requested to go to [redacted] home and get the documents, but did not get an answer from [redacted] b6 b7C

On October 26, 2010, [redacted] left a voicemail for [redacted] which said that [redacted] had checked herself into the Millford Hospital in Lewisville, [redacted] In the same voicemail [redacted] told [redacted] that she needed to file a suspicious activity report (SAR) to cover herself so that [redacted] doesn't get in trouble. b6 b7C

(Rev. 05-01-2008)

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 01/06/2011

To: Dallas **Attn:** Dallas Asset FF Unit
FF Paralegal Specialist
Squad C-1
SA [redacted] b6 b7C b7E

From: Dallas
C-1
Contact: CFI [redacted]

Approved By [redacted]

Drafted By: [redacted]

Case ID #: 290-DL-110167 (Pending) -8 b6 b7C
290-DL-110167-FF (New) -1

Title: [redacted]
BANK OF NEW YORK MELLON - VICTIM;
FIF

Synopsis: Open and assign a Forfeiture Sub-file to the captioned matter.

Details: Captioned matter is an investigation into a complaint of bank employee defalcation and embezzlement. Bank of New York Mellon's Corporate Security Investigations Group provided FBI Dallas Division with a criminal referral that Bank of New York Mellon had been defrauded by employee [redacted] via an embezzlement scheme. [redacted] her responsibilities included [redacted] Between 12/31/2009 and 09/10/2010, [redacted] made at least twenty-three (23) wire transfers which totaled $2,173,907.35 from Bank of New York Mellon's accounts to her personal account at Chase Bank. The embezzled funds were discovered during an internal audit of wire transfers on 10/21/2010. When [redacted] learned of the internal audit and its findings of her fraud, she fled work and has not returned. b6 b7C

SST, -- sub file to
O&a and
FPS [redacted] and
assign ¢¢6 [redacted] ¢¢1, EC

b6 b7C

290-DL-110167-8

To: Dallas From: Dallas
Re: 290-DL-110167, 01/06/2011

Case agent SA [redacted] has the reviewed subpoenaed [redacted] b3 b6 b7C

The writer recommends that Dallas Division open and assign a FF sub-file on the captioned investigation to document the results of pre-seizure forfeiture assessments. The writer also recommends that the Division assign Contract Forfeiture Investigator (CFI) [redacted] as a co-investigator on the FF sub-file. b6 b7C

♦♦

00[redacted]01.ec

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/05/2011

The undersigned investigator reviewed real property records filed with the Ellis County Clerk's Office, located at 109 South Jackson Street, Waxahachie, Texas, for property ownership relevant to the investigation. Ellis County Clerk CINDY POLLEY provided the undersigned investigator with copies of the following seven (7) documents which are summarized below and copies of which are attached and made part of this FD-302:

Related to property address [redacted] b6 b7C

[redacted]

Investigation on 01/05/2011 at Waxahachie, Texas

File # 290-DL-110167; 290-DL-110167-FF -9 Date dictated 01/05/2011

by CFI [redacted] b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

290-DL-110167-9

(Except for the aforementioned Deed of Trust, no other current liens against this property were recorded in Ellis County.)

Related to property address [redacted]

b6
b7C

[redacted]

290-DL-110167; 290-DL-110167-FF

(No current liens against this property were recorded in Ellis County.)

Related to property address [redacted]

b6
b7C

290-DL-110167-10
290-DL-110167-FF-6

[redacted]

The following investigation was conducted by Contract Forfeiture Investigator (CFI) [redacted] on January 5, 2011: b6 b7C

CFI [redacted] reviewed real property records filed with the Ellis County Clerk's Office. A review of these records did not find any relevant property records filed for the following individuals, or entities, as either a grantor or grantee:

[redacted] b6 b7C

CFI [redacted] review of real property records filed with the Ellis County Clerk's Office did discover an "Assumed Name Records Certificate of Ownership for Unincorporated Business or Profession" filed by [redacted] at residence [redacted] for doing business as [redacted] at [redacted] and at [redacted] as a sole proprietor. The document was executed on "3/22/2010," and was recorded [redacted] on March 22, 2010 in Ellis County. (A copy of the aforementioned assumed name certificate is attached herein and made part of this insert.) b6 b7C

CFI [redacted] review of real property records filed with the Ellis County Clerk's Office did discover documents filed for [redacted] and [redacted] which were copied and summarized in a separate communication (FD-302) to the file. b6 b7C

00[redacted]02.ins

[redacted]

290-DL-110167-10

(Rev. 05-01-2008)

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 01/21/2011

To: Salt Lake City **Attn:** Billings RA
SA [redacted]

From: Dallas
C-1
Contact: SA [redacted] b6 b7C b7E

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 290-DL-110167 -11

Title: [redacted]
BANK OF NEW YORK MELLON - Victim
FINANCIAL INSTITUTION FRAUD

Synopsis: To set leads for the Billings RA.

Enclosure(s): Enclosed for the Billings RA is one Federal Grand Jury subpoena for [redacted] and one Federal Grand Jury subpoena for [redacted] b3 b6 b7C

Details: The main subject of this case, [redacted] stole $2.7 million from Bank of New York Mellon via fraudulent wire transfers. [redacted] used the proceeds of the fraud to purchase real estate and pay personal expenses for herself and her family members.

Two of the main beneficiaries of the fraud were [redacted] b6 b7C and [redacted] received a $25,000 wire transfer from [redacted] into his account at Little Horn State Bank on May 12, 2010. [redacted] should be interviewed as to what the wire was for, and what the money was used to purchase as well as his knowledge of where [redacted] was getting all of her money. [redacted] should also be interviewed as to his knowledge of other assets or expenditures made. [redacted] date of birth [redacted] social security account number [redacted] is believed to reside at [redacted]

UNCLASSIFIED

290-DL-110167-11

2 [redacted] 61.e1

b6 b7C

To: Salt Lake City From: Dallas
Re: 290-DL-110167, 01/21/2011

[redacted] received a $16,000 wire transfer on February 26, 2010 and a $750 wire transfer on June 14, 2010. Additionally [redacted] received a $390,000 wire transfer on August 11, 2010 which was used to purchase a ranch located at [redacted] This property is being seized by the Dallas Division. [redacted] should be interviewed as to the purpose behind the transactions, any other transactions he is aware of, and any other details concerning [redacted] income and expenditures. [redacted] date of birth [redacted] social security account number [redacted] is believed to reside at [redacted] may reside at [redacted] and it is believed that [redacted] bought this property with proceeds of the crime as well.

b6
b7C

The Dallas Division is also requesting that the Billings RA serve the enclosed two Federal Grand Jury subpoenas on [redacted]

b3

To: Salt Lake City From: Dallas
Re: 290-DL-110167, 01/21/2011

LEAD(s):

Set Lead 1: (Action)

SALT LAKE CITY

AT BILLINGS, MONTANA

Serve the enclosed Federal Grand Jury subpoena's on [redacted] b3

Set Lead 2: (Action)

SALT LAKE CITY

AT BILLINGS, MONTANA

Interview [redacted]

Set Lead 3: (Action)

SALT LAKE CITY b6 b7C

AT BILLINGS, MONTANA

Interview [redacted]

♦♦

AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE (1)		
RECEIVED BY SERVER	DATE	PLACE
SERVED	DATE	PLACE
SERVED ON (PRINT NAME)		
SERVED BY (PRINT NAME)		TITLE

STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER (2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on _____
DATE

_____
SIGNATURE OF SERVER

_____
ADDRESS OF SERVER

_____

ADDITIONAL INFORMATION

[redacted]

b6
b7C

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

29B-DL-110167-12

AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

UNITED STATES DISTRICT COURT

Northern District of Texas

TO:

[redacted]

SUBPOENA TO TESTIFY
BEFORE GRAND JURY

b3

SUBPOENA FOR:

[redacted]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
1100 Commerce Street, 3rd Floor Dallas, Texas 75242	GRAND JURY ROOM DATE AND TIME [redacted]

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

b3

PLEASE SEE ATTACHMENT

☐ Please see additional information on reverse.

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK		DATE
Karen Mitchell (By) Deputy Clerk		1/19/2011

This subpoena is issued on application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
	[redacted] Assistant United States Attorney 1100 Commerce Street, 3rd Floor, Dallas, Texas 75242 [redacted]

b6
b7C

* If not applicable, enter "none".

[redacted]

b3

ATTACHMENT TO GRAND JURY SUBPOENA

b3
b6
b7C

Any questions regarding compliance with this subpoena should be directed to Special Agent [redacted] **Please deliver the requested items to SA** [redacted] **Federal Bureau of Investigation, One Justice Way, Dallas, Texas 75220.**

b6
b7C
b7E

AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE (1)		
RECEIVED BY SERVER	DATE	PLACE
SERVED	DATE	PLACE
SERVED ON (PRINT NAME)		
SERVED BY (PRINT NAME)		TITLE

STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER (2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on _____
DATE

_____
SIGNATURE OF SERVER

_____
ADDRESS OF SERVER

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

b6
b7C

290-DL-110167-13

AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

UNITED STATES DISTRICT COURT

Northern District of Texas

TO:

[redacted]

SUBPOENA TO TESTIFY
BEFORE GRAND JURY

b3

SUBPOENA FOR: [redacted]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
1100 Commerce Street, 3rd Floor Dallas, Texas 75242	GRAND JURY ROOM DATE AND TIME [redacted]

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

b3

PLEASE SEE ATTACHMENT

☐ Please see additional information on reverse.

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK	DATE
Karen Mitchell (By) Deputy Clerk	1/19/2011

This subpoena is issued on application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
	[redacted] Assistant United States Attorney 1100 Commerce Street, 3rd Floor, Dallas, Texas 75242 [redacted]

b6
b7C

* If not applicable, enter "none".

[redacted]

b3

ATTACHMENT TO GRAND JURY SUBPOENA

[redacted]

b3
b6
b7C

Please direct any questions to SA [redacted] FBI Dallas, One Justice Way, Dallas, Texas 75220, [redacted]

b6
b7C
b7E

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/14/2011

[redacted] date of birth [redacted] residence address [redacted] cellular telephone number [redacted] was interviewed by Special Agents (SA's) [redacted] and [redacted] of the Dallas Division of the FEDERAL BUREAU OF INVESTIGATION (FBI). After being advised of the identities of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C

[redacted] moved from Montana in 2005 to the [redacted] residence. [redacted] resided with [redacted] did not have knowledge of any pertinent information regarding the instant investigation.

b6
b7C

Investigation on 02/10/2011 at [redacted]

File # 290-DL-110167-14 Date dictated 02/14/2011

by SA [redacted]
SA

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

290-DL-110167-15

[redacted]

b6
b7C

1

On February 10, 2011 at approximately 9:00 a.m., Special Agents (SA's) [redacted] and [redacted] of the Dallas Division of the FEDERAL BUREAU OF INVESTIGATION (FBI), were given verbal consent to search by [redacted] to search the premises of [redacted] The consensual search included a physical search of one (1) detached garage and one (1) outlying storage shed. The following assets were identified and inventoried:

b6
b7C

Kubota L3130 Tractor, serial number [redacted]
Kubota LA513 Loader, serial number [redacted]
Kubota RTV900, serial number [redacted]
Performance trailer, Vehicle Identification Number (VIN) [redacted] Model TRA/REM [redacted]
Woods Mow'n Machine 5250 (Serial Number unknown)

b6
b7C

None of the referenced property/assets were seized or removed from the location at [redacted]

290-DL-110167-15

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/11/2011

[redacted] date of birth [redacted] b6 b7C
social security account number, [redacted] residence address [redacted] was interviewed by Special Agents (SA's) [redacted] and [redacted] of the Dallas Division of the FEDERAL BUREAU OF INVESTIGATION (FBI). After being advised of the identities of the interviewing agents and the nature of the interview, [redacted] provided the following information:

[redacted] lived at the [redacted] address with [redacted] b6 b7C
and [redacted] for approximately six (6) to eight (8) months. [redacted] bought the property approximately one (1) month prior to when [redacted] and [redacted] moved in. [redacted] did not have knowledge regarding how [redacted] purchased the [redacted] residence. [redacted] also did not have knowledge regarding how [redacted] purchased either the [redacted] or the [redacted] properties. [redacted] purchased several vehicles including:

2002 Freightliner
2010 Buick Lucern,
2007 Dodge Ram pickup
(year unknown) Buick Enclave
2008 Dodge Durango

[redacted] no longer possessed the 2008 Durango. [redacted] b6 b7C
reported to the interviewing agents it was "traded in."

[redacted] worked at a bank but was fired. [redacted] recalled family members talked about some money missing from the bank. [redacted]

Investigation on 02/10/2011 at [redacted]

File # 290-DL-110167 - 16 Date dictated 02/11/2011

by SA [redacted] b6 b7C
SA

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/14/2011

[redacted] date of birth [redacted] b6 b7C
social security account number [redacted] residence address [redacted]
[redacted] cellular telephone [redacted] was
interviewed by Special Agents (SA's) [redacted] and [redacted]
[redacted] of the Dallas Division of the FEDERAL BUREAU OF INVESTIGATION (FBI). After being advised of the identities of the interviewing agents and the nature of the interview, [redacted] provided the following information:

[redacted] recalled that [redacted] b6 b7C
[redacted] worked at a bank but was terminated. [redacted] believed
the termination [redacted]
[redacted] was living in Montana at the time [redacted]
left the bank.

[redacted] stated that [redacted] purchased the 2002 b6 b7C
Freightliner for him. [redacted] wanted to work for the trucking
industry, but was unable to find employment. [redacted]
[redacted]

[redacted] lived at the [redacted] address with [redacted] b6 b7C
[redacted] date of birth [redacted] cellular
telephone [redacted] and [redacted]

[redacted] gave interviewing agents the original CERTIFICATE OF TITLE and key to the 2002 Freightliner. Agents completed a FD-597 (receipt of property). A copy of the receipt of property was given to [redacted] The original property receipt was placed in a FD-340 (1-A) envelope and was maintained within the substantive case file.

Investigation on 02/10/2011 at [redacted]

File # 290-DL-110167-17 Date dictated 02/14/2011

by SA [redacted] b6 b7C
SA

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

290-DL-110167-17

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/11/2011

[redacted] date of birth [redacted] b6 b7C
social security number [redacted] cellular telephone number [redacted]
[redacted] was interviewed at his residence [redacted]
[redacted] After being advised of the identities of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

[redacted] has resided with [redacted] and [redacted] at [redacted] for the past month. Prior to living here, [redacted] resided with [redacted] b6 b7C
[redacted] at [redacted]

[redacted] knew that [redacted] was employed by the BANK OF NEW YORK MELON. [redacted] was unaware of [redacted] position or title.

[redacted] paid [redacted] cash every two weeks as an allowance. [redacted] believed the money he received from [redacted] was money that she earned through her employment at the bank. Sometime at the end of [redacted] purchased a 2007 silver Ford Mustang for him. [redacted] commented that he needed a vehicle. [redacted] believed that [redacted] used the money she earned through her employment at the bank to purchase the vehicle. [redacted] is unaware if there is a lien on the vehicle. b6 b7C

[redacted] provided the following information about the vehicles: b6 b7C
The blue Dodge truck is owned by [redacted] The white truck was purchased about a year ago by [redacted] from [redacted] and [redacted] The Motorhome is owned by [redacted] The white Buick Lucern is owned by [redacted] The white SUV Enclave is owned by [redacted] [redacted] opined that he believed that [redacted] purchased these vehicles with money she earned from her employment at the bank.

[redacted] told [redacted] that she left her position at the bank [redacted] is not aware of [redacted] has never seen [redacted] b6 b7C

Investigation on 2/10/2011 at [redacted] b6 b7C

File # 290-DL-110167 [redacted] Date dictated [redacted]

by [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

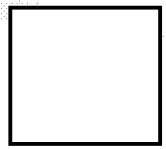
290-DL-110167

 b6 b7C

[redacted] is not aware of [redacted] having [redacted] recalled that [redacted] but did not recall any details.

[redacted] owns the house located at [redacted] and the red house down the road. [redacted] was unable to recall the address. [redacted] b6 b7C

290-DL-110167-19



b6
b7C

DFW

Locals are bored with Gaga's strange Grammys arrival

Search

Featured The Feast PopcornBiz Blue Star Open House

FBI: Irving Bank Officer Stole $2.7 Million

Woman bought houses and cars with stolen money, prosecutors say

By SCOTT GOSDEN

Updated 4:37 PM CST, Fri, Feb 11, 2011

SIZZ UP! 0

The FBI arrested a former Irving banker on Thursday and accused her of stealing $2.7 million over two years and using the money to buy two large houses in Ellis County and several vehicles.

60%

13%

11%

11%

4%

0%

0 COMMENTS

Tracey Buckley, an officer with the Bank of New York Mellon, allegedly stole $2,719,674 by fraudulently making 40 wire transfers from her bank into her personal account from 2008 to 2010, according to a federal grand jury indictment.

Buckley pleaded not guilty and was released on bond after surrendering her passport, court records show.

Her attorney, Jeffrey King of Dallas, said it was too early to comment.

"We're just kind of in the infancy of the case," he said. "We don't even have the evidence yet."

Agents seized several vehicles they claimed Buckley bought with stolen funds, including a 2010 Buick Lucerne, a 2007 Dodge Ram 2500 quad pickup, a 2008 Dodge Durango sport utility vehicle and a 2002 Freightliner diesel tractor.

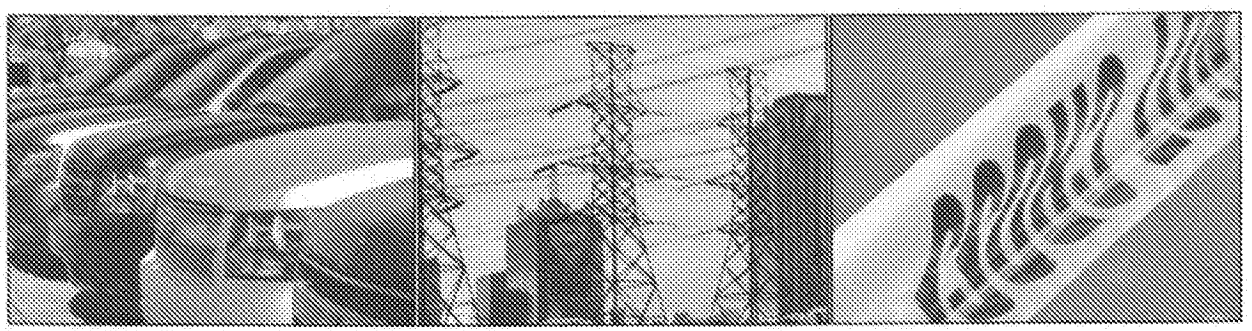
Prosecutors claim she also bought two homes in Palmer, south of Dallas.

King said she lives in one of the homes and family members live in the other.

First Published: Feb 11, 2011 9:53 PM CST

BUZZ UP! 1 retweet 0

Tracey Buckley



3 hours ago 2 hours ago Feb 12, 2011

Gas Guzzler Could Cost You Even More

Report: Texans Overpay for Electricity

Charges in Dragging Death

FD-302 (Rev. 10-6-95)

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/17/2011

[redacted] telephone number [redacted] provided the following information: b6 b7C

[redacted]

Re. The $25,000 Wire Transfer:

In approximately May or June of 2010, [redacted] wired $25,000 into [redacted] bank account at Little Horn State Bank after [redacted] mentioned to [redacted] that he was behind on his bills. Although he didn't ask her for the money, he let her know he was behind and she said "here." [redacted] used this money to pay bills related to his business and insurance bills. b6 b7C

[redacted] does not know where [redacted] obtained this money. [redacted] has been with her employer a long time. [redacted] thought she was "high up and making a good income."

Other Gifts made by [redacted]:

[redacted] gave [redacted] money. [redacted] does not know how much money she gave [redacted] b6 b7C

[redacted] owns several properties, possibly two or more, in Texas. [redacted] lives in one of these properties/houses. [redacted] assumes [redacted] owns or purchased this property as [redacted] and does not have the financial ability to buy property.

Other:

[redacted] had no knowledge that [redacted] was purportedly stealing money, possibly millions, from the Bank of New York Mellon via purportedly fraudulent wire transfers. b6 b7C

Investigation on 02/17/11 at [redacted]

File # 290-DL-110167-20 Date dictated 02/17/11 b6 b7C

by SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

290-DL-110167-20

290-DL-110167

 b6 b7C

Background:

Name: [redacted]

Cell#: [redacted]

Race:

Sex:

POB: [redacted] b6 b7C

DOB:

SSN:

Employer:

[redacted]

[redacted] b6 b7C

290-DL-110167

Continuation of FD-302 of [redacted], On 02/17/11, Page 3 b6 b7C

Father: [redacted]
Mother:

[redacted] b6 b7C

[redacted] b6 b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/14/2011

[redacted] cellular telephone number [redacted] provided the following information: b6 b7C

[redacted]

Re. The $16,000 Wire Transfer:

Prior to February 2010, [redacted] was interested in buying a trailer and lot previously owned by [redacted] Inasmuch as [redacted] was having troubles obtaining a loan, he called [redacted] as she is a family member and is in the banking business. Although [redacted] originally told [redacted] she would buy it for him, he initially declined her offer. After continual difficulty in getting a loan, [redacted] decided to accept [redacted] offer. b6 b7C

On approximately February 26, 2010, [redacted] wired $16,000 into [redacted] bank account at Little Horn State Bank so [redacted] could buy the trailer and property owned by [redacted] This money was a gift from [redacted] b6 b7C

This trailer was located at [redacted] Due to problems with the paperwork involving this property, [redacted] was not able to purchase the property until approximately September 2010.

[redacted] sold this property in approximately December 2010 for approximately $19,000. [redacted] used $10,000 of the sales proceeds as a down-payment on a Western Security Bank business loan he obtained for his company, [redacted] [redacted] used the remaining $9,000 for living expenses. b6 b7C

[redacted] does not know where [redacted] obtained this money. He assumed she earned it.

Investigation on 02/14/2011 at [redacted]

File # 290-DL-110167 ~21 Date dictated 02/14/2011

by SA [redacted] b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

290-DL-110167-21

Re. The $750 Wire Transfer:

On approximately June 14, 2010, [redacted] wired $750 into [redacted] bank account at Little Horn State Bank to pay for a home inspection regarding a house located on approximately 128 acres at [redacted]

[redacted] does not know where [redacted] obtained this money. He assumed she earned it. [redacted] recalled [redacted] mentioning, at times, she made money on stocks and bonds. This money was a gift from [redacted]

Re. The $390,000 Wire Transfer:

On approximately August 11, 2010, [redacted] wired $390,000 into [redacted] bank account at Little Horn State Bank so [redacted] could purchase the house and approximately 128 acres located at [redacted]

[redacted] used some of this money to pay off credit cards and loans regarding a couple vehicles and a boat. The remaining money was used as a down-payment on the aforementioned property. The sales price for the property was approximately $435,000. [redacted] obtained a mortgage for the property through Western Security Bank. This mortgage was for approximately $111,000. The mortgage is currently held by Wells Fargo Bank. b6 b7C

This property was for sale for approximately two years. It is located on [redacted] When [redacted] was in Montana in the summer of 2010, she looked at the property and commented she was interested in buying the property for [redacted]. [redacted] mentioned putting cattle on the land.

[redacted] does not know where [redacted] obtained this money. He assumed she earned it. This money was a gift from [redacted] b6 b7C

[redacted] did not have any knowledge that [redacted] was purportedly stealing money, possibly millions, from the Bank of New York Mellon via purportedly fraudulent wire transfers.

[redacted] has since obtained a $140,000 home equity loan on this property from Western Security Bank. [redacted] used the proceeds of this home equity loan to buy [redacted] from [redacted] b6 b7C

Other Gifts from [redacted]

After being asked if he has any knowledge of other assets or expenditures made by [redacted] advised [redacted] gave [redacted] $25,000. [redacted] does not know what [redacted] did with this money.

[redacted] believes [redacted] bought a home for [redacted] Additionally, [redacted] may have purchased a home for [redacted]

b6
b7C

[redacted] is into rodeo livestock. Specifically, she raises bucking bulls that are used in rodeos.

[redacted] always thought [redacted] had a lot of money. He thought she was a successful banker who had money all over the place.

290-DL-110167

 b6 b7C

Background:

Name: [redacted]

Cell#: [redacted]

Race: [redacted]

Sex: [redacted]

DOB: [redacted] b6 b7C

SSN: [redacted]

POB: [redacted]

Montana License Number: [redacted]

Wife: [redacted]

Children:

[redacted] b6 b7C

Prior residence:

[redacted]

Education:

[redacted] b6 b7C

290-DL-110167

 b6 b7C

Employer:

[redacted]

b6
b7C

[redacted]

b6
b7C

(Rev. 05-01-2008)

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/17/2011

To: Dallas **Attn:** SA [redacted] (C-1) [redacted]

b6
b7C
b7E

From: Salt Lake City
Billings, Montana R.A.
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 290-DL-110167 (Pending) -22

Title: [redacted]
BANK OF NEW YORK MELLON - VICTIM
FIF

b6
b7C

Synopsis: Provide interview results re. [redacted] along with two (served) FGJ subpoenas. (Re. Lead from DL to SU in EC dated 01/21/11).

Enclosure(s):

1. FD302 re. [redacted]
2. FD302 re. [redacted]
3. FGJ Subpoena [redacted]
4. FGJ Subpoena [redacted]
5. FD340 (1A envelope containing interview notes [redacted]

b3
b6
b7C

Details: [redacted] and [redacted] were interviewed regarding the wire transfers they received from [redacted] Additionally, FGJ subpoenas were served at [redacted] and [redacted]

b3
b6
b7C

Salt Lake City (Billings, Montana R.A.) considers this lead covered.

UNCLASSIFIED

290-DL-110167-22

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/15/2011

On February 10, 2011, Agents from the Dallas Division conducted a probable cause seizure of a 2011 Buick Enclave VIN# [redacted] The vehicle was being driven by [redacted] b6 b7C at the time of her arrest at [redacted] All personal possessions were removed from the vehicle by [redacted] including [redacted] purse and all of it's contents. Photographs were taken of the vehicle prior to seizure, and the vehicle was then towed from the location.

An FD597 Receipt for Property was left with [redacted] also agreed to sign a Consent to Forfeiture and Waiver of Timely Notice form after consulting with his attorney by telephone.

[redacted] b6 b7C

Investigation on 02/10/2011 at Dallas, Texas

File # 290-DL-110167-23 Date dictated

by SA [redacted] 290-DL-110167-FI b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

290-DL-110167-23

CONSENT TO FORFEITURE AND WAIVER OF TIMELY NOTICE

The Federal Bureau of Investigation seized the following 2011 Buick Enclave (property) from [redacted] (Name) on 2/10/11 (date) pursuant to Probable Cause. (forfeiture authority).

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b7C

SEE ATTACHMENT "A"

I understand that pursuant to 18 U.S.C. § 983, the FBI is required to send notice in non-judicial civil forfeiture matters. Having been advised of my rights regarding notice, I hereby knowingly and voluntarily waive my rights to such notice being sent within the time frames in 18 U.S.C. § 983 and to having the property returned to me if notice is not sent within the prescribed time frames. I voluntarily waive all constitutional, legal and equitable claims arising out of and/or defenses to the forfeiture of this property in any proceeding, including any claim of innocent ownership and any claim or defense under the Eighth Amendment, including any claim of excessive fine. I agree to not contest or assist anyone else in contesting the forfeiture on any other ground. I further agree not to petition or assist anyone else in petitioning for the remission or mitigation of the forfeiture.

I hereby agree to unconditionally release and hold harmless the FBI, its officers, employees and Agents. from any and all claims, demands, damages, cause of actions or suits, of whatever kind and description, and wheresoever situated, that might now exist or hereafter exist by reason of or growing out of or affecting, directly or indirectly, the seizure of the above described property.

Party Consenting to Forfeiture:

Name: [redacted]

Address: [redacted]

Telephone: [redacted]

Date of Birth: [redacted]

Social Security Number: [redacted]

Signature: [redacted]

Date: [redacted]

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Counsel for Defendant

(AUSA's Name)
Assistant United States Attorney

ATTACHMENT "A"

Description of Property 2011 Buick Enclave

Vehicle Identification Number (VIN)
(if applicable)

Serial Number _____
(if applicable)

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/15/2011

On February 10, 2011, Agents from the Dallas Division conducted a probable cause seizure of a 2011 Buick Enclave VIN# [redacted] The vehicle was being driven by [redacted] b6 b7C at the time of her arrest at [redacted]. All personal possessions were removed from the vehicle by [redacted], including [redacted] purse and all of it's contents. Photographs were taken of the vehicle prior to seizure, and the vehicle was then towed from the location.

An FD597 Receipt for Property was left with [redacted] b6 b7C [redacted] also agreed to sign a Consent to Forfeiture and Waiver of Timely Notice form after consulting with his attorney by telephone.

Investigation on 02/10/2011 at Dallas, Texas

File # 290-DL-110167 24 [redacted] Date dictated _____ b6 b7C

by SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

46 [redacted] 84,302

290-DL-110167-24

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/15/2011

On February 8, 2011, a seizure warrant was issued by the Northern District of Texas for a 2007 Dodge Ram 2500 VIN# [redacted] owned by [redacted]. b6 b7C

On February 10, 2011, Agents from the Dallas Division of the Federal Bureau of investigation seized the above vehicle which was located at [redacted]. All personal possessions were removed from the vehicle, and the vehicle was towed from the location. Photographs were taken of the vehicle prior to seizure. A copy of the seizure warrant and an FD597 Receipt for Property was left with [redacted] b6 b7C

Investigation on 02/10/2011 at Dallas, Texas

File # 290-DL-110167 -25 [redacted] Date dictated _____ b6 b7C

by SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

46 [redacted] 03.302

290-DL-110167-25

FD-65
Revised
01-31-2003

FEDERAL BUREAU OF INVESTIGATION

FUGITIVE FORM LETTER

FUGITIVE FORM

Precedence:	Date:
IMMEDIATE	02/09/2011
To:	Attn:
Dallas Division	NCIC Operator

From: Dallas Division

Section/Unit: C-1

Contact (Name, Extension): SA [redacted] b6 b7C b7E

Approved By:
Last First Middle
(No Punctuation) [redacted]

Drafted By: [redacted]

Case ID #: 290-DL-110167

Status: Pending

Title: [redacted] BANK FRAUD b6 b7C

SYNOPSIS

Submission:

- ○ III Inquiry
- ◉ Initial Submission
- ○ Initial Submission - Parental Kidnapping
- ○ Not Fully Identified Subject
- ○ Supplements FD-65
- ○ Title Change and Supplements FD-65

NCIC Number:

Reason For Title Change:

Reference:	Case ID #:	Serial #:
	290-DL-110167	

International Fugitive? ○ Yes ◉ No

Indicate Fugitive Priority:

- ○ A (Crimes of Violence)
- ○ B (Lesser Destruction of Property > $25k)
- ◉ C (All Others)
- ○ D (Parental Kidnapping)

SUBJECT INFORMATION

Caution:
Check applicable warnings:

- ☐ Armed and Dangerous
- ☐ Escape Risk
- ☐ Suicidal Tendencies
- ☐ Known to Use Narcotics
- ☐ Known to Abuse Alcohol
- ☐

NCIC	
111 ☐	
ENTER ☑	
MODIFY ☐	
LOCATE ☐	
CLEAR ☐	
CANCEL ☐	
DATE 2/9/11 NCIC	

b6 b7C

Name: [redacted] b6 b7C
Last Name, First Name, Middle Initial

Gender: [redacted] Race: [redacted] Place of Birth: [redacted]

26

290-DL-110167

Birth Date:	Emancipation Date:	Height:
[redacted]		
Weight:	Eye Color:	Hair Color:
[redacted]		
FBI Number:	Skin Tone:	
[redacted]		

b6
b7C

CLASSIFICATION / ID NUMBERS

NCIC Fingerprint Classification:	Other Identifying Number:	Social Security Number:
		[redacted]
Operator's License Number:	Operator's License State:	Operator's License Expiration Date:
[redacted]		

b6
b7C

VEHICLE INFORMATION

License Plate Number:	License Plate State:	License Plate Expiration Date:	License Plate Type:
VIN:	Year Manufactured:	Make:	Model:
Style:	Color:		

OFFENSE INFORMATION

Offense Charged:	Embezzlement	
U.S. Code, Title, and Section:	18 Section 656	Issued on:
Warrant Issued By:	Northern District of Texas	2011-02-08
F.O. File Number:	29O-DL-110167	
Bond Recommended:		
Miscellaneous:		

ALIASES / ADDITIONAL IDENTIFIERS

Aliases:	
Additional Identifiers:	

SCARS, MARKS, AND TATTOOS

Scars, Marks, Tattoos, and Other Characteristics:	

LEAD(S)

Set Lead : **Information Only**

AT

Set Lead: (Info) CRIMINAL INVERTIGATIVE AT WASHINGTON, DC For the Information of Violent Crimes Unit.

(v. 8-30-2010)

Squad supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 02/15/2011

Date Loaded _____

Date Loader's Initials _____

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Accomplishment involves: (check all that apply)	
Drugs	■
A Fugitive	☐
Bankruptcy Fraud	☐
Computer Fraud/Abuse	☑
Corruption of Public Official	☐
Money Laundering	☐
Sub Invest Asst by FO(s)	☐

File Number
290-DL-110167

Stat Agent Soc. Sec. No.

Stat Agent Name

RA	Squad
	C-1

Asst. FO(s) ___ ___ ___ ___
A. B. C. D.

Task Force

Assisting Agents Soc. Sec. No. x
1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used

1. Used, but did not help
2. Helped, minimally
3. Helped, substantially
4. Absolutely essential

For Sub. Invest. Assist. by other FO(s) indicate A, B, C, D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
	■	Fin. Analyst		■	Lab. Field Sup			UCO - Nat.Back		■	Vict-Witn Coor
	■	Aircraft Asst.			Pen Registers		■	NCAVC/ Vi-CAP		■	IO Wanted Flyer
	■	Computer			Photo Cover		■	Crim/NS Intel Asst		■	SARs
		Consen Mon.		■	Polygraph		■	Crisis Neg.- Fed		■	CART
		ELSUR / FISC			Search Warrant		■	Crisis Neg.- Local		■	Asset Forf Prog
		ELSUR / T. III		■	Show Money		■	ERT Asst.		■	Forf Support Proj
	■	Eng. Field Spt.			SOG Asst.		■	Butte - OSC		■	TFOS/CTD
	■	Eng. Tape Ex.		■	Swat Team		■	Sav - OSC		■	CXS/CTD
	■	Legats Asst.		■	Tech. Ag/Equip.		■	Poc - SC		■	InfraGard/CyD
		Evid. Purchase		■	Phone Toll Rec		■	Tech Resp Unit		■	OFC/CID
		Inf/CW Info			UCO - Group I		■	For. Lang Asst.		■	PPP
	■	Lab. Div. Exam			UCO - Group II		■	Non FBI Lab Ex		■	Fusion Centers

b7E

A. Complaint / Information/ Indictment

☑ Federal ☐ Local ☐ International

Complaint Date: _____

Check if Civil Rico Complaint ☐

Information Date: _____

Indictment Date: 02/08/2011

B. Locate / Arrest

☑ Federal ☐ Local ☐ International

Subject Priority: ☐ A ☐ B ☑ C

Locate Date: _____

Arrest Date: 02/10/2011

☐ Subject Resisted Arrest

☐ Subject Arrested was Armed

C. Summons Date: _____

☐ Federal ☐ Local

D. Recovery / Restitution / PELP X

☐ Federal ☐ Local ☐ International

Recovery Date: _____

Code • _____ ✓ Amount $ _____

Code • _____ ✓ Amount $ _____

Restitution Date: _____

☐ Court Ordered ☐ Pretrial Diversion

Code • _____ ✓ Amount $ _____

PELP Date: _____

Code • _____ ✓ Amount $ _____

E. Hostages(s) Released Date: _____

Released by: ☐ Terrorist ☐ Other

Number of Hostages: _____

F. Conviction

☐ Federal ☐ Local ☐ International

Conviction Date: _____

Subject Description Code _____ • (_____)•

For 6F, G, H-Include Agency Code

☐ Felony or ☐ Misdemeanor

☐ Plea or ☐ Trial

State: _____ Judicial District: _____

G. U.S. Code Violation

Required for sections A, B, F and J (Federal Only)

Title	Section	# Counts
18	656	1

H. Sentence Date: _____

Sentence Type: _____ , _____ , _____ •

In Jail: Years _____ Months _____

Suspended: Years _____ Months _____

Probation: Years _____ Months _____

Fines: $ _____

I. Disruption/Dismantlement: ✓

Disruption Date: _____

Dismantlement Date: _____

Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: _____

Also complete "Section G"

Other Civil Matters Date: _____

Judgment ___ ___ ___ ___ •

Judicial Outcome ___ ___ ___ ___ • x

Amount $ _____

Suspension: Years _____ Months _____

K. Administrative Sanction Date: _____

Subject Description Code _____ •

Type: Length:

☐ Suspension ☐ Permanent

☐ Debarment or

☐ Injunction Year _____ Months _____

L. Asset Seizure Date: _____

Asset Forfeiture Date: _____

CATS # Mandatory _____

Circle below one of the three asset forfeiture:

Admin, Civil Judicial, or Criminal

Do not indicate $ value in Section D

M. Acquittal/ Dismissal/ Pretrial Diversion

(Circle one) Date: _____

N. Drug Seizures ✓ **Date:** _____

Drug Code • _____

Weight _____ Code • _____

FDIN _____

Do not indicate $ in Section D

O. Child Victim Information

Child located / identified Date: _____

☐ Living ☐ Deceased

P. Subject Information - Required for all blocks excluding block D (Recovery/PELP), blocks E, I, L and N

Name	Race •	Sex	Date of Birth	Social Security No. (if available)

b6
b7C

For Indictments/Convictions only:

☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Canbbean, or Nigenan Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.

☐ Subject related to an OC/Drug organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

x Additional information may be added by attaching another form or a plain sheet of paper for additional entries.

• See codes on reverse side.

✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1 m and PELP over $5 m, disruption, dismantlement, and drug seizures.

Serial No. of FD-515

27

290-DL-110167-27

02/22/2011 ********** INDICTMENT **********

~~SENSITIVE~~ / UNCLASSIFIED

Case Number: 290-DL-110167
Serial No.: 27

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/22/2011
Accom Date.: 02/08/2011

b6
b7C
b7E

Does Accomplishment Involve

Drugs . . . . . : N
A Fugitive. . . . . : N
Bankruptcy Fraud. . . . . : N
Computer Fraud/Abuse. . . . . : N
Corruption of Public Officials: N
Money Laundering. . . . . : N

Assisting Agents SOC

Subject Name

RA	Squad	Task Force
HQ	C-1	

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB FIELD SUP	UCO - NAT BACK	VICT-WITN COOR
AIRCRAFT ASST	PEN REGISTERS	NCAVC/VI-CAP	IO WANTED FLYR
COMPUTER ASST	PHOTO COVERGE	CRIM/NS INTEL	SARS
CONSEN MONITR	POLYGRAPH	CRIS NEG-FED	CART
ELSUR/FISC	SRCH WAR EXEC	CRIS NEG-LOC	ASSET FORF PRO
ELSUR/III	SHOW MONEY	ERT ASST	FORF SUPPORT P
ENG FIELD SUP	SOG ASST	BUTTE OSC	TFOS/CTD
ENG TAPE EXAM	SWAT TEAM	SAV OSC	CXS/CTD
LEGATS ASST.	TECH AG/EQUIP	POC SC	INFRAGARD/CYD
EVIDNCE PURCH	TEL TOLL RECS	FT. MON-NRCSC	OFC/CID
INFORMANT/CW	UCO-GROUP I	FOR LANG ASST	PPP
LAB DIV EXAMS	UCO-GROUP II	NON FBI LAB EX	FUSION CENTERS

Indictment is for Federal, Local, or International (F/L/I)...: F

Form FD-515a Side 2:
Subject's Role =

U. S. Code Violation(s)

Title	Section	Counts
18	656	1

Subject's Criminal Activity :
If Other, Specify. . . . :

If NON-LCN Member or Associate (M/A):
Name of NON-LCN Criminal Group. . . :

If La Cosa Nostra (LCN) Member or Asso (M/A):
Name of LCN Organized Crime Group (OCG) . . . . .:
Position in LCN OCG . . . . . .:

Name of Union:

Union Affiliation:
If Other, Specify:

Business Influenced/Affected:
If Other, Specify. . . . . .:

Name of Company Subject Connected with:

Elected/Appointed Public Official Position:
If Other, Specify. :

Level of Union. . . . :
If Local, Give Number:
Position in Union.:
If Other, Specify:

Accomplishment Narrative

~~SENSITIVE~~ / UNCLASSIFIED

02/22/2011 ********** ARREST **********

~~SENSITIVE~~ / UNCLASSIFIED

Case Number: 290-DL-110167
Serial No.: 27

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/22/2011
Accom Date.: 02/10/2011

b6
b7C
b7E

Does Accomplishment Involve		Assisting Agents SOC	Subject Name
Drugs	N		
A Fugitive	N		
Bankruptcy Fraud	N		
Computer Fraud/Abuse	N		
Corruption of Public Officials	N		
Money Laundering	N		

RA	Squad	Task Force
HQ	C-1	

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB FIELD SUP	UCO - NAT BACK	VICT-WITN COOR
AIRCRAFT ASST	PEN REGISTERS	NCAVC/VI-CAP	IO WANTED FLYR
COMPUTER ASST	PHOTO COVERGE	CRIM/NS INTEL	SARS
CONSEN MONITR	POLYGRAPH	CRIS NEG-FED	CART
ELSUR/FISC	SRCH WAR EXEC	CRIS NEG-LOC	ASSET FORF PRO
ELSUR/III	SHOW MONEY	ERT ASST	FORF SUPPORT P
ENG FIELD SUP	SOG ASST	BUTTE OSC	TFOS/CTD
ENG TAPE EXAM	SWAT TEAM	SAV OSC	CXS/CTD
LEGATS ASST.	TECH AG/EQUIP	POC SC	INFRAGARD/CYD
EVIDNCE PURCH	TEL TOLL RECS	FT. MON-NRCSC	OFC/CID
INFORMANT/CW	UCO-GROUP I	FOR LANG ASST	PPP
LAB DIV EXAMS	UCO-GROUP II	NON FBI LAB EX	FUSION CENTERS

Arrest is for Federal, Local, or International (F/L/I).. : F
Arrest Subject Priority (A/B/C)..... : C
Did Subject Resist (Y/N)..... : N
Was Subject Armed (Y/N)..... : N

United States Code Violation

Title	Section	Count
18	656	1

Accomplishment Narrative

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/15/2011

On February 8, 2011, a seizure warrant was issued by the Northern District of Texas for a 2010 Buick Lucerne VIN# [redacted] owned by [redacted]

On February 10, 2011, Agents from the Dallas Division of the Federal Bureau of investigation seized the above vehicle which was located at [redacted] All personal possessions were removed from the vehicle, and the vehicle was towed from the location. Photographs were taken of the vehicle prior to seizure. A copy of the seizure warrant and an FD597 Receipt for Property was left with [redacted]

b6
b7C

Investigation on 02/10/2011 at Dallas, Texas

File # 290-DL-110167 -28 Date dictated

by [redacted]

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

46 [redacted] 82.302

290-DL-110167-28

AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

UNITED STATES DISTRICT COURT

Northern District of Texas

TO:

[redacted]

SUBPOENA TO TESTIFY
BEFORE GRAND JURY b3

SUBPOENA FOR:

[redacted]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
1100 Commerce Street, 3rd Floor Dallas, Texas 75242	GRAND JURY ROOM
	DATE AND TIME [redacted] b3

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

PLEASE SEE ATTACHMENT

☐ Please see additional information on reverse.

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK		DATE
Karen Mitchell (By) Deputy Clerk	UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF TEXAS	1/19/2011

This subpoena is issued on application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
	[redacted] Assistant United States Attorney b6 1100 Commerce Street, 3rd Floor, Dallas, Texas 75242 b7C [redacted]

* If not applicable, enter "none".

[redacted] [redacted]

b3
b6
b7C

DA

290-DL-110161

AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE (1)		
RECEIVED BY SERVER	DATE: 2-10-11	PLACE: @ FBE Billings, MT.
SERVED	DATE: 2-10-11	PLACE: [redacted]
SERVED ON (PRINT NAME)	[redacted]	
SERVED BY (PRINT NAME)	[redacted]	TITLE: Special Agent

b3
b6
b7C

STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER (2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on 2-10-11
DATE

[redacted]

b6
b7C

FBE
ADDRESS OF SERVER

Billings, MT

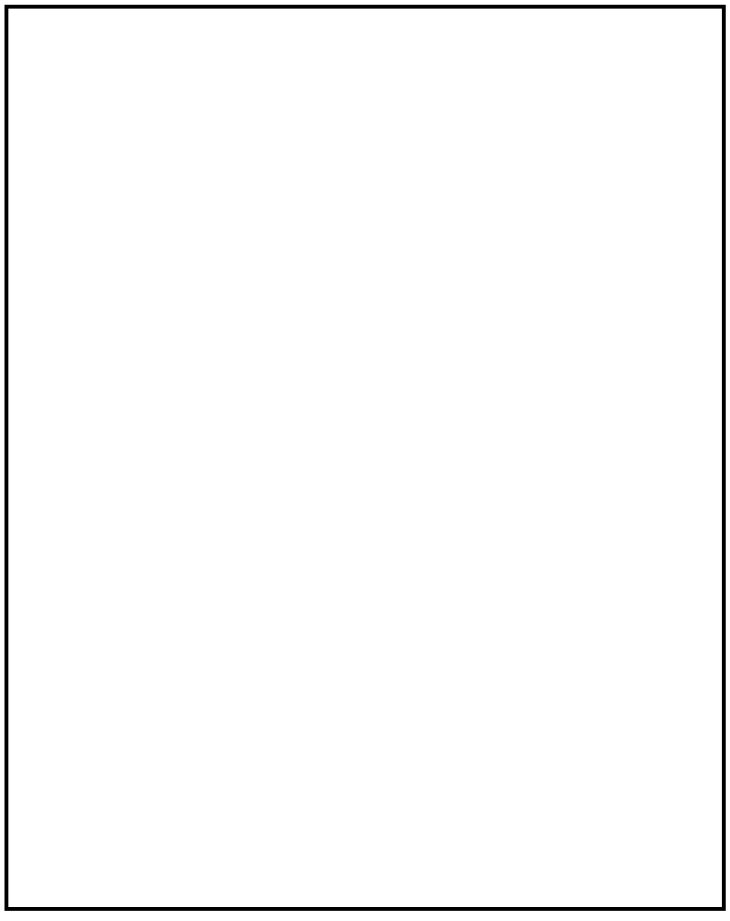
ADDITIONAL INFORMATION

[redacted]

b3
b6
b7C

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".



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ATTACHMENT TO GRAND JURY SUBPOENA

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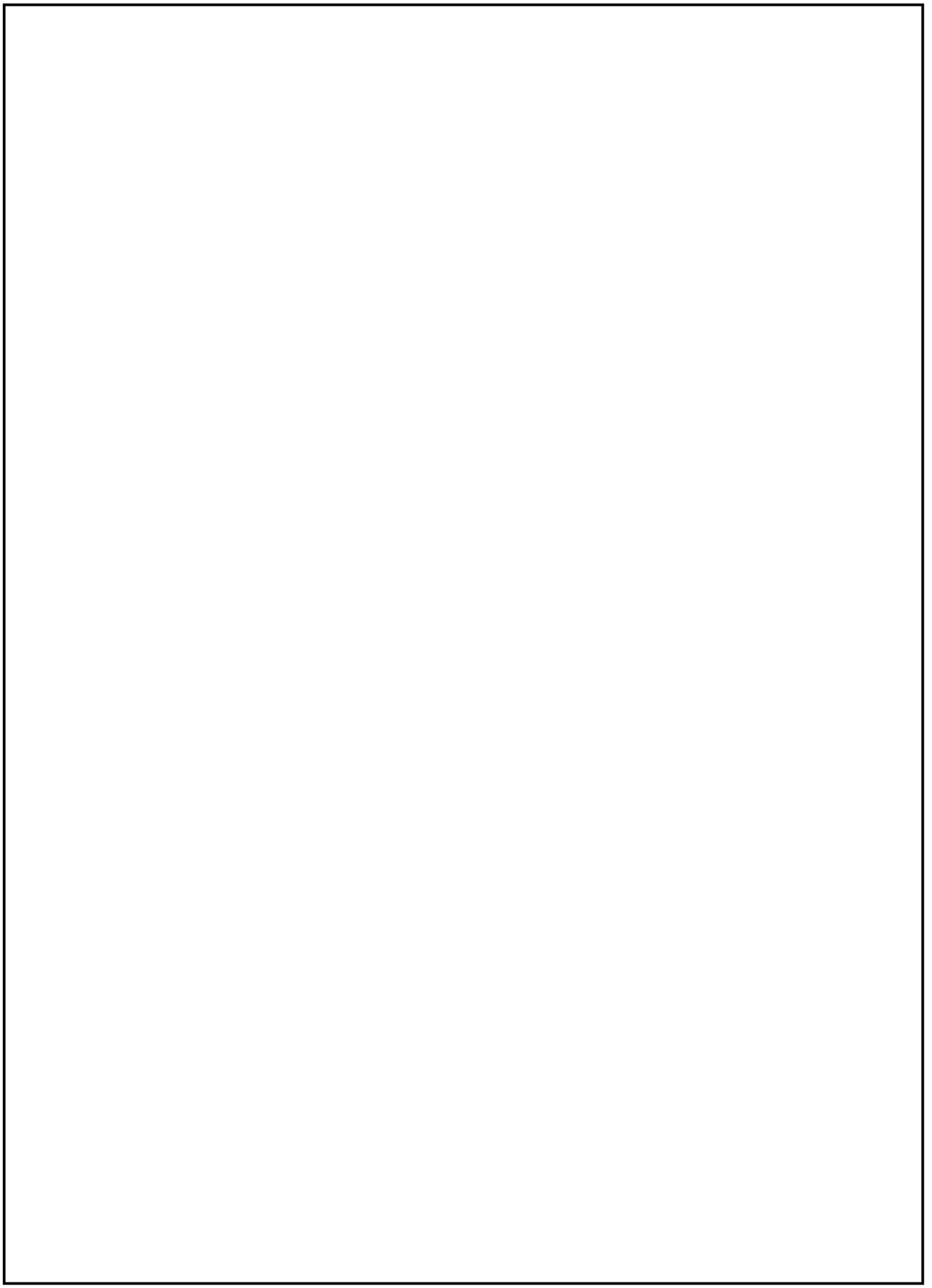
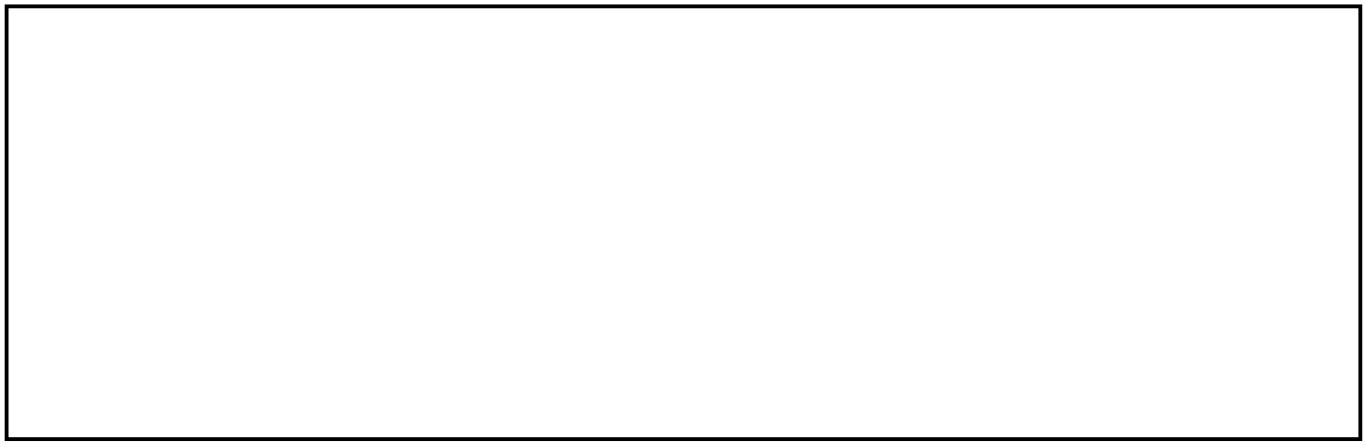
b6
b7C



b3



b3

Any questions regarding compliance with this subpoena should be directed to Special Agent [redacted] at [redacted] **Please deliver the requested items to SA** [redacted] **Federal Bureau of Investigation, One Justice Way, Dallas, Texas 75220.**

b6
b7C
b7E

.AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

UNITED STATES DISTRICT COURT

Northern District of Texas

TO:

[redacted] b3

SUBPOENA TO TESTIFY
BEFORE GRAND JURY

SUBPOENA FOR:

[redacted]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
1100 Commerce Street, 3rd Floor Dallas, Texas 75242	GRAND JURY ROOM
	DATE AND TIME [redacted] b3

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

PLEASE SEE ATTACHMENT

☐ Please see additional information on reverse.

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK		DATE
Karen Mitchell (By) Deputy Clerk		1/19/2011

This subpoena is issued on application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
	[redacted] Assistant United States Attorney b6 b7C 1100 Commerce Street, 3rd Floor, Dallas, Texas 75242 [redacted]

* If not applicable, enter "none".

[redacted]

[redacted] b3 b6 b7C

290-DL-110167-30

AO110 (Rev. 12/89) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE (1)		
RECEIVED BY SERVER	DATE 2-10-11	PLACE @ FBI Bldg, MT.
SERVED	DATE 2-10-11	PLACE [redacted]
SERVED ON (PRINT NAME)	[redacted]	
SERVED BY (PRINT NAME)	[redacted]	TITLE Special Agent

STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER (2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on 2-10-11
DATE

[redacted]

FBI - Billings, MT
ADDRESS OF SERVER

ADDITIONAL INFORMATION

[redacted]

b3
b6
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b6
b7C

b3
b6
b7C

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

ATTACHMENT TO GRAND JURY SUBPOENA

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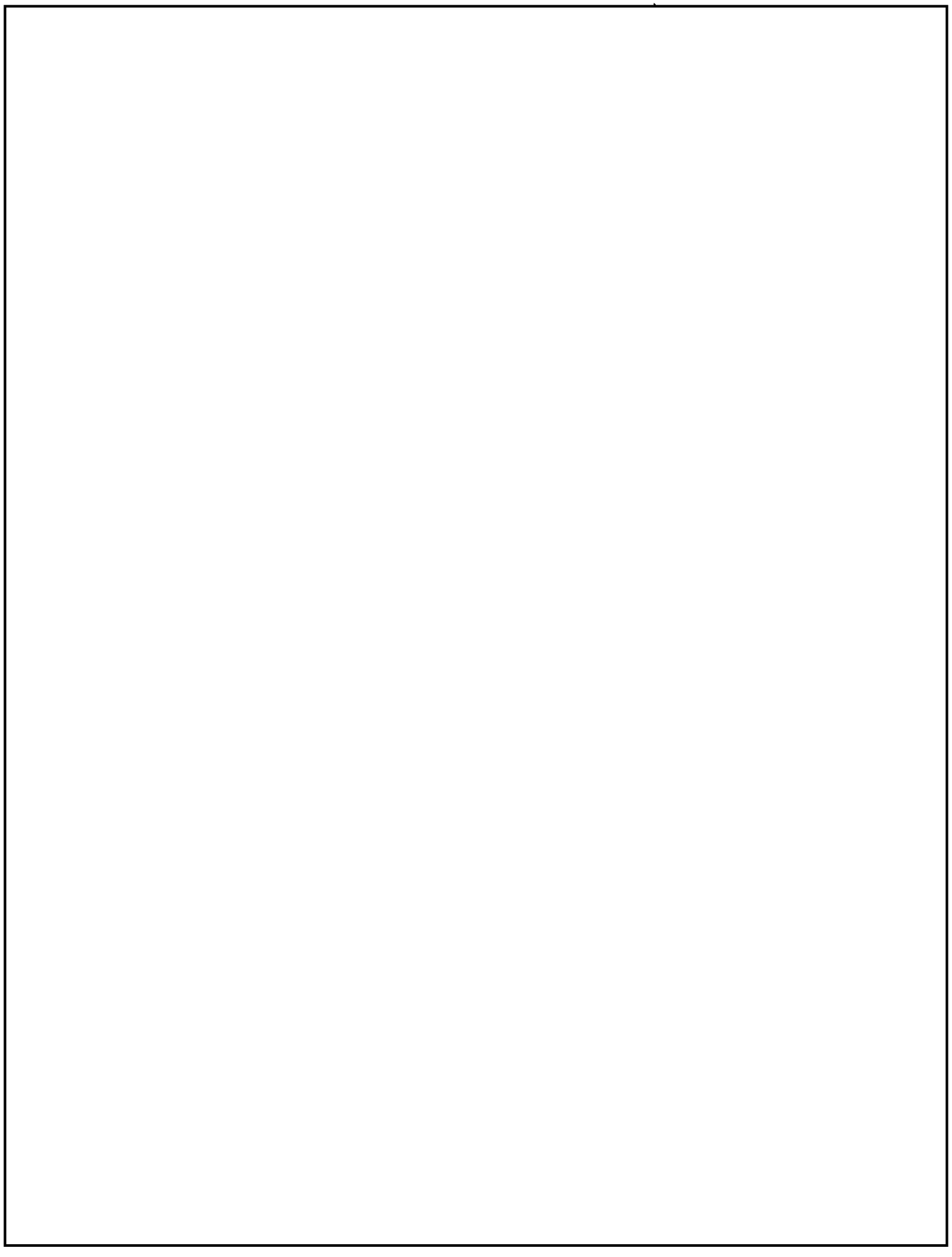
b7C



b3

b3

Any questions regarding compliance with this subpoena should be directed to Special Agent [redacted] at [redacted] **Please deliver the requested items to SA** [redacted] **Federal Bureau of Investigation, One Justice Way, Dallas, Texas 75220.**

b6
b7C
b7E

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/15/2011

On February 8, 2011, a seizure warrant was issued by the Northern District of Texas for a 2002 Freightliner VIN# [redacted] owned by [redacted] b6 b7C

On February 10, 2011, Agents from the Dallas Division of the Federal Bureau of investigation seized the above vehicle which was located at [redacted] All personal possessions were removed from the vehicle, and the vehicle was towed from the location. Photographs were taken of the vehicle prior to seizure. A copy of the seizure warrant and an FD597 Receipt for Property was left with [redacted] b6 b7C

Investigation on 02/10/2011 at Dallas, Texas

File # 290-DL-110167-31 Date dictated

by SA [redacted] b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/11/2011

Pursuant to an arrest warrant, issued on February 8, 2011 by the United States District Court, Northern District of Texas, Special Agents (SAs) [redacted] and [redacted] of the FEDERAL BUREAU OF INVESTIGATION (FBI) arrested, without incident, [redacted] date of birth [redacted] social security account number [redacted] at her residence, [redacted] at approximately 8:30am. Also present were SAs [redacted] and [redacted]

b6
b7C

[redacted]

b6
b7C

Investigation on 02/10/2011 at [redacted]

b6
b7C

File # 290-DL-110167 -32 Date dictated 02/11/2011

by SA [redacted]

SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency

290-DL-110167-32

290-DL-110167 -
33

b6
b7C

[redacted] (DL) (FBI)

From: [redacted] (DL) (FBI)
Sent: Wednesday, February 09, 2011 4:23 PM
To: [redacted]
Subject: 29O-DL-110167 [redacted]

C-1

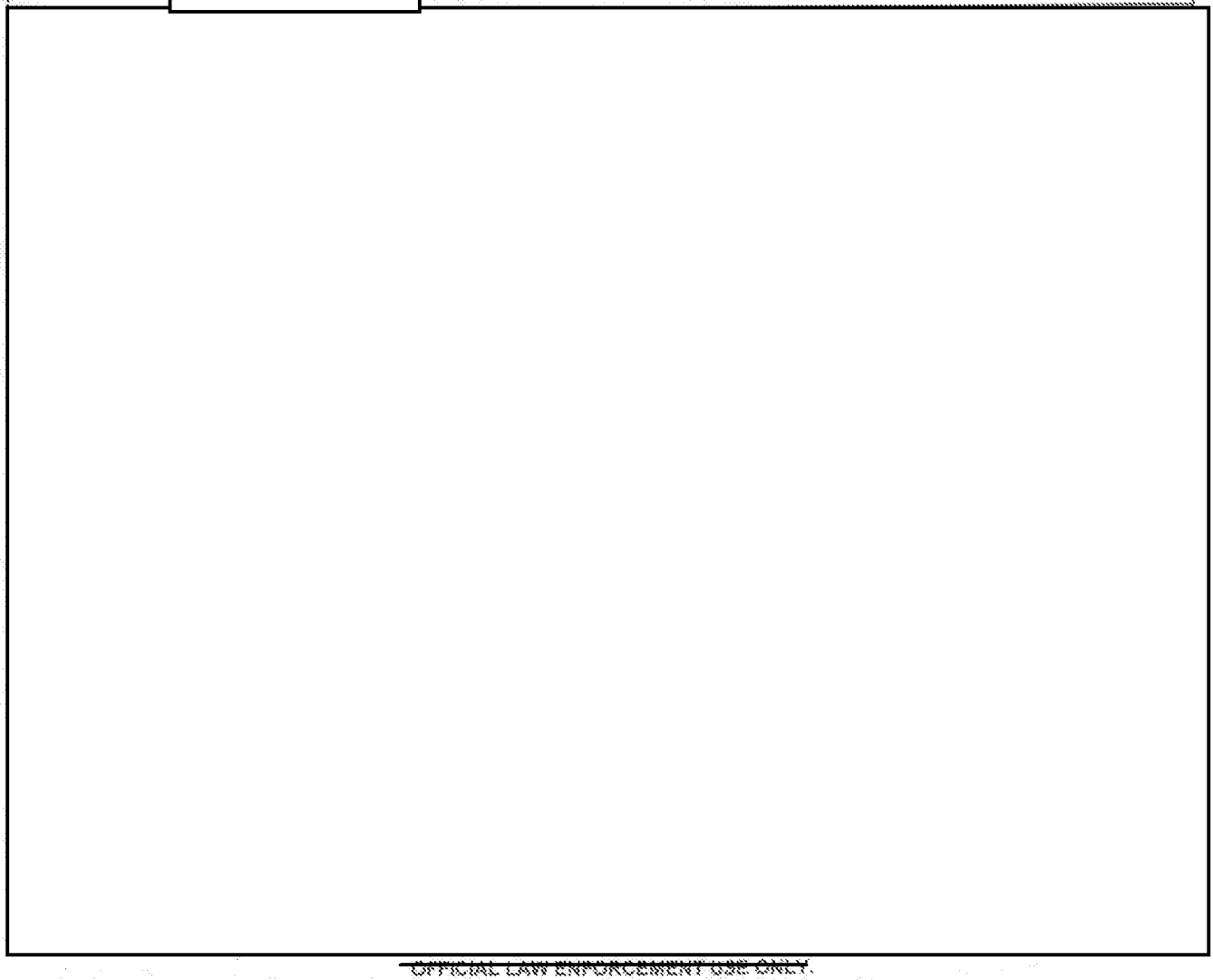
b6
b7C
b7E

We are conducting an arrest tomorrow. Can you run NCIC criminal history and wants on the following 6 people? Please call me any positive results ASAP.

[redacted]

b6
b7C

DL Number:	
Name:	
Date of Birth:	



b6
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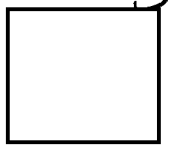
~~OFFICIAL LAW ENFORCEMENT USE ONLY~~.
Violations subject to civil and criminal penalties and termination of access.

For technical assistance, contact TexasOnline
24 hours a day, 7 days a week toll free at 877.452.9000
or by email at WebHelp@TexasOnlineHelp.com.

11/2/2010

b7E

290-DL-110167
35



b6
b7C

NCIC	SUPV OR AGENT		
III	☐		
ENTER	☐		
MODIFY	☐		
LOCATE	☐		
CLEAR	☑	[redacted]	
CANCEL	☐		
DATE 2/10/11 NCIC		[redacted]	

Date: 2/10/11
File Number: 290-DL-110167
Case Agent: [redacted]
Squad Handling Classification: C-1
00:
ORI: TXFBIDL00

b6
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Please CLEAR / CANCEL / LOCATE (CIRCLE ONE) of the following: (1) CLEAR if ~~subject~~ was taken into custody by ORIGINATING OFFICE or any other law enforcement entity; (2) CANCEL if warrant was recalled, invalid or dismissed; (3) LOCATE warrant was issued by another law enforcement entity.

	WANTED PERSON INFORMATION
Name (Last, First Middle)	[redacted]
Date of Birth	
Social Security Number	
Miscellaneous Number(s)	
FBI Number	
NIC or NCIC Number	

b6
b7C

CLEAR/CANCEL INFORMATION FOR WANTED PERSON

Date Wanted Person Apprehended	2/10/11
00:File Number	290-DL-110167
Arresting Agency/File Number	
Locate Placed on Wanted Person?	YES or ~~NO~~
In Custody of:	
Date Warrant Recalled or Dismissed	

LOCATE INFORMATION FOR WANTER PERSON

File Number for Entering Agency	
Date Wanted Person Apprehended	
Apprehending Agency's File Number	
In Custody Of	

v. 8-30-2010)

Squad supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 02/18/2011

Date Loaded _____

Date Loader's Initials _____

b6
b7C

Accomplishment involves: (check all that apply)	
Drugs	
A Fugitive	
Bankruptcy Fraud	
Computer Fraud/Abuse	✓
Corruption of Public Official	
Money Laundering	
Sub Invest Asst by FO(s)	

Asst. FO(s) ___ ___ ___ ___ (A. B. C. D.)

Task Force

File Number
290-DL-110167

Stat Agent Soc. Sec. No. - -

Stat Agent Name

RA	Squad
	C-1

Assisting Agents Soc. Sec. No. x

1. - -

Name:

2. - -

Name:

Investigative Assistance or Technique Used

1. Used, but did not help
2. Helped, minimally
3. Helped, substantially
4. Absolutely essential

For Sub. Invest. Assist. by other FO(s) indicate A, B, C, D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Field Sup			UCO - Nat.Back			Vict-Witn Coor
		Aircraft Asst.			Pen Registers			NCAVC/ Vi-CAF			IO Wanted Flyer
		Computer			Photo Cover			Crim/NS Intel Ass			SARs
		Consen Mon.			Polygraph			Crisis Neg.- Fec			CART
		ELSUR / FISC			Search Warrant			Crisis Neg.- Local			Asset Forf Prog
		ELSUR / T. III			Show Money			ERT Asst.			Forf Support Proj
		Eng. Field Spt.			SOG Asst.			Butte - OSC			TFOS/CTD
		Eng. Tape Ex.			Swat Team			Sav - OSC			CXS/CTD
		Legats Asst.			Tech. Ag/Equip.			Poc - SC			InfraGard/CyD
		Evid. Purchase			Phone Toll Rec			Tech Resp Unit			OFC/CID
		Inf/CW Info			UCO - Group I			For. Lang Asst.			PPP
		Lab. Div. Exam			UCO - Group II			Non FBI Lab Ex			Fusion Centers

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b7E

A. Complaint / Information/ Indictment

☐ Federal ☐ Local ☐ International

Complaint Date: _____

Check if Civil Rico Complaint ☐

Information Date: _____

Indictment Date: _____

B. Locate / Arrest

☐ Federal ☐ Local ☐ International

Subject Priority: ☐ A ☐ B ☐ C

Locate Date: _____

Arrest Date: _____

☐ Subject Resisted Arrest

☐ Subject Arrested was Armed

C. Summons Date: _____

☐ Federal ☐ Local

D. Recovery / Restitution / PELP X

☐ Federal ☐ Local ☐ International

Recovery Date: _____

Code • _____ ✓ Amount $ _____

Code • _____ ✓ Amount $ _____

Restitution Date: _____

☐ Court Ordered ☐ Pretrial Diversion

Code • _____ ✓ Amount $ _____

PELP Date: _____

Code • _____ ✓ Amount $ _____

E. Hostages(s) Released Date: _____

Released by: ☐ Terrorist ☐ Other

Number of Hostages: _____

F. Conviction

☐ Federal ☐ Local ☐ International

Conviction Date: _____

Subject Description Code _____ • (_____)•

For 6F, G, H-Include Agency Code

☐ Felony or ☐ Misdemeanor

☐ Plea or ☐ Trial

State: _____ Judicial District: _____

G. U.S. Code Violation

Required for sections A, B, F and J (Federal Only)

Title	Section	# Counts

H. Sentence Date: _____

Sentence Type: _____, _____, _____•

In Jail: Years_____ Months_____

Suspended: Years_____ Months_____

Probation: Years_____ Months_____

Fines: $_____

I. Disruption/Dismantlement: ✓

Disruption Date: _____

Dismantlement Date: _____

Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: _____

Also complete "Section G"

Other Civil Matters Date: _____

Judgment ___ ___ ___ ___•

Judicial Outcome ___ ___ ___ ___•x

Amount $ _____

Suspension: Years_____ Months_____

K. Administrative Sanction Date: _____

Subject Description Code _____•

Type: ☐ Suspension ☐ Debarment ☐ Injunction

Length: ☐ Permanent or Year_____ Months_____

L. Asset Seizure Date: 02/08/2011

Asset Forfeiture Date: _____

CATS # Mandatory 11-FBI-001684

Circle below one of the three asset forfeiture:

Admin, Civil Judicial, or Criminal

Do not indicate $ value in Section D

M. Acquittal/ Dismissal/ Pretrial Diversion

(Circle one) Date: _____

N. Drug Seizures ✓ **Date:** _____

Drug Code • _____

Weight _____ Code • _____

FDIN _____

Do not indicate $ in Section D

O. Child Victim Information

Child located / identified Date: _____

☐ Living ☐ Deceased

P. Subject Information - Required for all blocks excluding block D (Recovery/PELP), blocks E, I, L and N

Name	Race•	Sex	Date of Birth	Social Security No. (if available)

For Indictments/Convictions only:

☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Caribbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.

☐ Subject related to an OC/Drug organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

x Additional information may be added by attaching another form or a plain sheet of paper for additional entries.

• See codes on reverse side.

✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1 m and PELP over $5 m, disruption, dismantlement, and drug seizures.

Serial No. 36 of FD-515

290-DL-110167-36

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.
Revised 12-19-2006

PROPERTY CODES

01	Cash
02	Stocks, Bonds or Negot. Instruments
03	General Retail Merchandise
04	Vehicles
05	Heavy Machinery & Equipment
06	Aircraft
07	Jewelry
08	Vessels
09	Art, Antiques or Rare Collections
11	Real Property
20	All Other

SENTENCE TYPES

CP	Capital Punishment
JS	Jail Sentence
LP	Life Parole
LS	Life Sentence
NS	No Sentence (Subject is a Fugitive, Insane, has died, is a Corporation or must pay fine only)
PB	Probation
SJ	Suspension of Jail Sentence
YC	Youth Correction Act

PELP CODES

22	Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23	Counterfeit/Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft From or Fraud Against Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other

RACE CODES

A	Asian/Pacific Islander
B	Black
I	Indian/American
U	Unknown
W	White
X	Nonindividual

AGENCY CODES

AFOSI	Air Force Office of Special Investigations
ACIS	Army Criminal Investigative Service
BATF	Bureau of Alcohol, Tobacco & Firearms
BIA	Bureau of Indian Affairs
CBP	Customs and Border Protection
DCAA	Defense Contract Audit Agency
DCIS	Defense Criminal Investigative Service
DEA	Drug Enforcement Administration
DOC	Department of Corrections
DOI	Dept. of Interior
DHS	Dept. of Homeland Security
EPA	Environmental Protection Agency
FAA	Federal Aviation Administration
FDA	Food and Drug Administration
HHS	Dept. of Health & Human Services
HUD	Dept. of Housing & Urban Development
ICE	Immigration and Customs Enforcement
IRS	Internal Revenue Service
NASA	Nat'l Aeronautics & Space Admin
NBIS	Nat'l NARC Border Interdiction
NCIS	Naval Criminal Investigative Service
RCMP	Royal Canadian Mounted Police
SBA	Small Business Administration
USCG	U.S. Coast Guard
USDS	U.S. Department of State
USMS	U.S. Marshals Service
USPS	U.S. Postal Service
USSS	U.S. Secret Service
USTR	U.S. Treasury
LOC	Local
CITY	City
COUN	County
ST	State
OTHR	Other

JUDGMENT CODES

CJ	Consent Judgment
CO	Court Ordered Settlement
DF	Default Judgment
DI	Dismissal
JN	Judgment Notwithstanding
MV	Mixed Verdict
SJ	Summary Judgment
VD	Verdict for Defendant
VP	Verdict for Plaintiff

JUDICIAL OUTCOME

AG	Agreement
BR	Barred/Removed
CC	Civil Contempt
DC	Disciplinary Charges
FI	Fine
PI	Preliminary Injunction
PR	Temporary Restraining Order
PS	Pre-filing Settlement
RN	Restitution
SP	Suspension
VR	Voluntary Resignation
OT	Other

SUBJECT PRIORITY

A	Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years.
B	Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.
C	All other subjects.

DRUG CODES

COC	Cocaine
HER	Heroin
HSH	Hashish
KAT	Khat
LSD	LSD
MAR	Marijuana
MDM	Methylenedioxymethamphetamine
MET	Methamphetamine
MOR	Morphine
OPM	Opium
OTD	Other drugs

DRUG WEIGHT CODES

GM	Gram(s)
KG	Kilogram(s)
L	Liter(s)
ML	Milliliter(s)
P	Plant(s)
DU	Dosage Unit(s)

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F	Boss
1G	Underboss
1H	Consigliere
1J	Acting Boss
1K	Capodecina
1L	Soldier

KNOWN CRIMINALS

2A	Top Ten or I.O. Fugitive
2B	Top Thief
2C	Top Con Man

FOREIGN NATIONALS

3A	Legal Alien
3B	Illegal Alien
3C	Foreign Official W/out Diplomatic Immunity
3D	U.N. Employee W/out Diplomatic Immunity
3E	Foreign Student
3F	All Others

TERRORISTS

4A	Known Member of a Terrorist Organization
4B	Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D	President
5E	Vice-President
5F	Treasurer
5G	Secretary/Treasurer
5H	Executive Board Member
5I	Business Agent
5J	Representative
5K	Organizer
5L	Business Manager
5M	Financial Secretary
5N	Recording Secretary
5P	Office Manager
5Q	Clerk
5R	Shop Steward
5S	Member
5T	Trustee
5U	Other

GOVERNMENT SUBJECTS
(6F, 6G, 6H- Include Agency Code)

6A	Presidential Appointee
6B	U.S. Senator/Staff
6C	U.S. Representative/Staff
6D	Federal Judge/Magistrate
6E	Federal Prosecutor
6F	Federal Law Enforcement Officer
6G	Federal Employee - GS 13 & Above
6H	Federal Employee - GS 12 & Below
6J	Governor
6K	Lt. Governor
6L	State Legislator
6M	State Judge/Magistrate

Continuation of GOVERNMENT SUBJECTS

6N	State Prosecutor
6P	State Law Enforcement Officer.
6Q	State - All Others
6R	Mayor
6S	Local Legislator
6T	Local Judge/Magistrate
6U	Local Prosecutor
6V	Local Law Enforcement Officer
6W	Local-All Others
6X	County Commissioner
6Y	City Councilman

BANK EMPLOYEES

7A	Bank Officer
7B	Bank Employee

OTHERS

8A	All Other Subjects
8B	Company or Corporation

CHILD PREDATORS

9A	Child Care provider
9B	Clergy
9C	Athletic Coach
9D	Teacher/Aide
9E	Law Enforcement Personnel
9F	Counselor
9G	Relative
9H	Stranger
9I	Other

CASE

Office ID:	Sub-Office ID:	Program Code:	GDEP:	Szr Event/Exhibit #:	Delay Forfeiture?
3190		05		3190110049	N

Case Number:	Case Name:
029O-DL-110167	[redacted] Bank of New York Mellon; FIF
Operation Name:	Case Agent: [redacted]

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SEIZURE

Seizure Date:	FIRE Begin Date:	FIRE End Date:	FIRE Type:	Seizure Method:	Indictment Date:	Adoption Date:
	02/08/11		Indicted		02/08/11	

Seizing Agent:	Phone Number:

Seized From:

Place/Address Where Seized:	City:
	State:

Judicial Seizure:	District Processing:	Primary Forfeiture Statute:	Primary Violation Statute:
	TXN	18 USC 982	18 USC 656

ALL ASSET TYPES

Asset Value at Seizure:	Abve Min Thrshld?	Appraisal Date:	Asset Type/Asset Sub-Type:	Forfeiture Type:
0.00	Y		Real Property/Sgl Family Housing Unit	Criminal

Asset Description:	Victims Anticipated?
[redacted]	Y

b6
b7C

Liens Exists?	Expenses Incurred?	Hold as Evidence?	Sz From Includes Agent?	Expedited Release Notice Served?	Special Handling Code(s):
Y	N	N		N	

HIDTA Case?	SARs Case?	Forfeiture-Dependent Award?	Quick Release Performed?	Intra-Agency Assistance?	Additional Agencies?
N	N	N	N	N	N

Suitable for Official Use?	OCDETF Case?	Parallel Processing?	Sharing Anticipated?	Intl Sharing?	Restitution Case Anticipated?
N	N	N	N	N	Y

COMPLETE IF APPLICABLE

Make:	Model:	Style:	Year Manufactured:	Color/Finish:

VIN/Serial Number/Fiscal Control #:	Condition Code:	NCIC Search Results Positive?
	Good	

License Number:	Year:	State:	Country:	Concealed Compartment?	Photos?
					Y

Special Equipment or Accessories?	Damage Present?	Caliber:
N	N	

PARTY [redacted]

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b7C

Party Name: [redacted]	Start: 02/14/11	End:
Address: [redacted]		

City: [redacted]	State: [redacted]	Zip Code: [redacted]	Country:
			USA

Contact Name (if business):	Phone Number(Contact):

Phone Number:	Party Type(s):
	Owner, Occupant

Notice Due Date:	Lien Amount Held By Party ($):	Quick Release Recipient?	Quick Release Date:	Expenses Reimbursed ($):

b6
b7C

02/24/2011 ********** SEIZURE **********

~~SENSITIVE~~/ UNCLASSIFIED

Case Number: 290-DL-110167
Serial No.: 36

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/24/2011
Accom Date.: 02/08/2011

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Does Accomplishment Involve		Assisting Agents SOC	Subject Name
Drugs	N		
A Fugitive	N		
Bankruptcy Fraud	N		
Computer Fraud/Abuse	N		
Corruption of Public Officials	N		
Money Laundering	N		

RA	Squad	Task Force
HQ	C-1	

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

	FINAN ANALYST		LAB FIELD SUP		UCO - NAT BACK		VICT-WITN COOR
	AIRCRAFT ASST		PEN REGISTERS		NCAVC/VI-CAP		IO WANTED FLYR
	COMPUTER ASST		PHOTO COVERGE		CRIM/NS INTEL		SARS
	CONSEN MONITR		POLYGRAPH		CRIS NEG-FED		CART
	ELSUR/FISC		SRCH WAR EXEC		CRIS NEG-LOC		ASSET FORF PRO
	ELSUR/III		SHOW MONEY		ERT ASST		FORF SUPPORT P
	ENG FIELD SUP		SOG ASST		BUTTE OSC		TFOS/CTD
	ENG TAPE EXAM		SWAT TEAM		SAV OSC		CXS/CTD
	LEGATS ASST.		TECH AG/EQUIP		POC SC		INFRAGARD/CYD
	EVIDNCE PURCH		TEL TOLL RECS		FT. MON-NRCSC		OFC/CID
	INFORMANT/CW		UCO-GROUP I		FOR LANG ASST		PPP
	LAB DIV EXAMS		UCO-GROUP II		NON FBI LAB EX		FUSION CENTERS

b7E

TYPE OF SEIZURE: CRIMINAL
DATE OF ACCOMPLISHMENT: 20110208
CATS #: 11-FBI-001684

Accomplishment Narrative

8-30-2010)

quad supervisor approval
ease initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 02/18/2011
Date Loaded
Date Loader's Initials

b6
b7C

Accomplishment involves: (check all that apply)	
Drugs	
A Fugitive	
Bankruptcy Fraud	
Computer Fraud/Abuse	
Corruption of Public Official	
Money Laundering	
Sub Invest Asst by FO(s)	

File Number
290-DL-110167

Stat Agent Soc. Sec. No.
- -

Stat Agent Name

RA	Squad
	C-1

Asst. FO(s) ___ ___ ___ ___
A. B. C. D.

Task Force

Assisting Agents Soc. Sec. No. x
1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used

1. Used, but did not help
2. Helped, minimally
3. Helped, substantially
4. Absolutely essential

For Sub. Invest. Assist. by other FO(s) indicate A, B, C, D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Field Sup			UCO - Nat.Bac			Vict-Witn Coor
		Aircraft Asst.			Pen Registers			NCAVC/ Vi-CAF			IO Wanted Flyer
		Computer			Photo Cover			Crim/NS Intel Ass			SARs
		Consen Mon.			Polygraph			Crisis Neg.- Fe			CART
		ELSUR / FISC			Search Warrant			Crisis Neg.- Local			Asset Forf Prog
		ELSUR / T. III			Show Money			ERT Asst.			Forf Support Proj
		Eng. Field Spt.			SOG Asst.			Butte - OSC			TFOS/CTD
		Eng. Tape Ex.			Swat Team			Sav - OSC			CXS/CTD
		Legats Asst.			Tech. Ag/Equip.			Poc - SC			InfraGard/CyD
		Evid. Purchase			Phone Toll Rec			Tech Resp Unit			OFC/CID
		Inf/CW Info			UCO - Group I			For. Lang Asst.			PPP
		Lab. Div. Exam			UCO - Group II			Non FBI Lab Ex			Fusion Centers

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A. Complaint / Information/ Indictment
☐ Federal ☐ Local ☐ International
Complaint Date:
Check if Civil Rico Complaint ☐
Information Date:
Indictment Date:

B. Locate / Arrest
☐ Federal ☐ Local ☐ International
Subject Priority: ☐ A ☐ B ☐ C
Locate Date:
Arrest Date:
☐ Subject Resisted Arrest
☐ Subject Arrested was Armed

C. Summons Date:
☐ Federal ☐ Local

D. Recovery / Restitution / PELP X
☐ Federal ☐ Local ☐ International
Recovery Date:
Code • ___ ✓ Amount $
Code • ___ ✓ Amount $
Restitution Date:
☐ Court Ordered ☐ Pretrial Diversion
Code • ___ ✓ Amount $
PELP Date:
Code • ___ ✓ Amount $

E. Hostages(s) Released Date:
Released by: ☐ Terrorist ☐ Other
Number of Hostages:

F. Conviction
☐ Federal ☐ Local ☐ International
Conviction Date:
Subject Description Code ___ • (___)•
For 6F, G, H-Include Agency Code
☐ Felony or ☐ Misdemeanor
☐ Plea or ☐ Trial
State: Judicial District:

G. U.S. Code Violation
Required for sections A, B, F and J (Federal Only)

Title	Section	# Counts

H. Sentence Date:
Sentence Type: ___ , ___ , ___ •
In Jail: Years Months
Suspended: Years Months
Probation: Years Months
Fines: $

I. Disruption/Dismantlement: ✓
Disruption Date:
Dismantlement Date:
Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date:
Also complete "Section G"
Other Civil Matters Date:
Judgment ___ ___ ___ ___ •
Judicial Outcome ___ ___ ___ ___ • x
Amount $
Suspension: Years Months

K. Administrative Sanction Date:
Subject Description Code ___ •
Type: ☐ Suspension ☐ Debarment ☐ Injunction
Length: ☐ Permanent or Year Months

L. Asset Seizure Date: 02/08/2011
Asset Forfeiture Date:
CATS # Mandatory 11-FBI-001683
Circle below one of the three asset forfeiture:
Admin, Civil Judicial, or Criminal
Do not indicate $ value in Section D

M. Acquittal/ Dismissal/ Pretrial Diversion
(Circle one) Date:

N. Drug Seizures ✓ Date:
Drug Code •
Weight Code •
FDIN
Do not indicate $ in Section D

O. Child Victim Information
Child located / identified Date:
☐ Living ☐ Deceased

P. Subject Information - Required for all blocks excluding block D (Recovery/PELP), blocks E, I, L and N

Name	Race•	Sex	Date of Birth	Social Security No. (if available)

For Indictments/Convictions only:
☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Canbbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.
☐ Subject related to an OC/Drug organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

x Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
• See codes on reverse side.
✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1 m and PELP over $5 m, disruption, dismantlement, and drug seizures.

Serial No. of FD-515
37

290-DL-110167-37

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.
Revised 12-19-2006

PROPERTY CODES

01	Cash
02	Stocks, Bonds or Negot. Instruments
03	General Retail Merchandise
04	Vehicles
05	Heavy Machinery & Equipment
06	Aircraft
07	Jewelry
08	Vessels
09	Art, Antiques or Rare Collections
11	Real Property
20	All Other

SENTENCE TYPES

CP	Capital Punishment
JS	Jail Sentence
LP	Life Parole
LS	Life Sentence
NS	No Sentence (Subject is a Fugitive, Insane, has died, is a Corporation or must pay fine only)
PB	Probation
SJ	Suspension of Jail Sentence
YC	Youth Correction Act

PELP CODES

22	Counterfeit *Stocks/Bonds/Currency/* Negotiable Instruments
23	Counterfeit/Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	*Theft From or Fraud Against* Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other

RACE CODES

A	Asian/Pacific Islander
B	Black
I	Indian/American
U	Unknown
W	White
X	Nonindividual

AGENCY CODES

AFOSI	Air Force Office of Special Investigations
ACIS	Army Criminal Investigative Service
BATF	Bureau of Alcohol, Tobacco & Firearms
BIA	Bureau of Indian Affairs
CBP	Customs and Border Protection
DCAA	Defense Contract Audit Agency
DCIS	Defense Criminal Investigative Service
DEA	Drug Enforcement Administration
DOC	Department of Corrections
DOI	Dept. of Interior
DHS	Dept. of Homeland Security
EPA	Environmental Protection Agency
FAA	Federal Aviation Administration
FDA	Food and Drug Administration
HHS	Dept. of Health & Human Services
HUD	Dept. of Housing & Urban Development
ICE	Immigration and Customs Enforcement
IRS	Internal Revenue Service
NASA	Nat'l Aeronautics & Space Admin
NBIS	Nat'l NARC Border Interdiction
NCIS	Naval Criminal Investigative Service
RCMP	Royal Canadian Mounted Police
SBA	Small Business Administration
USCG	U.S. Coast Guard
USDS	U.S. Department of State
USMS	U.S. Marshals Service
USPS	U.S. Postal Service
USSS	U.S. Secret Service
USTR	U.S. Treasury
LOC	Local
CITY	City
COUN	County
ST	State
OTHR	Other

JUDGMENT CODES

CJ	Consent Judgment
CO	Court Ordered Settlement
DF	Default Judgment
DI	Dismissal
JN	Judgment Notwithstanding
MV	Mixed Verdict
SJ	Summary Judgment
VD	Verdict for Defendant
VP	Verdict for Plaintiff

JUDICIAL OUTCOME

AG	Agreement
BR	Barred/Removed
CC	Civil Contempt
DC	Disciplinary Charges
FI	Fine
PI	Preliminary Injunction
PR	Temporary Restraining Order
PS	Pre-filing Settlement
RN	Restitution
SP	Suspension
VR	Voluntary Resignation
OT	Other

SUBJECT PRIORITY

A	Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years.
B	Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.
C	All other subjects.

DRUG CODES

COC	Cocaine
HER	Heroin
HSH	Hashish
KAT	Khat
LSD	LSD
MAR	Marijuana
MDM	Methylenedioxymethamphetamine
MET	Methamphetamine
MOR	Morphine
OPM	Opium
OTD	Other drugs

DRUG WEIGHT CODES

GM	Gram(s)
KG	Kilogram(s)
L	Liter(s)
ML	Milliliter(s)
P	Plant(s)
DU	Dosage Unit(s)

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F	Boss
1G	Underboss
1H	Consigliere
1J	Acting Boss
1K	Capodecina
1L	Soldier

KNOWN CRIMINALS

2A	Top Ten or I.O. Fugitive
2B	Top Thief
2C	Top Con Man

FOREIGN NATIONALS

3A	Legal Alien
3B	Illegal Alien
3C	Foreign Official W/out Diplomatic Immunity
3D	U.N. Employee W/out *Diplomatic Immunity*
3E	Foreign Student
3F	All Others

TERRORISTS

4A	Known Member of a Terrorist Organization
4B	Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D	President
5E	Vice-President
5F	Treasurer
5G	Secretary/Treasurer
5H	Executive Board Member
5I	Business Agent
5J	Representative
5K	Organizer
5L	Business Manager
5M	Financial Secretary
5N	Recording Secretary
5P	Office Manager
5Q	Clerk
5R	Shop Steward
5S	*Member*
5T	Trustee
5U	Other

GOVERNMENT SUBJECTS
(6F, 6G, 6H- Include Agency Code)

6A	*Presidential Appointee*
6B	U.S. Senator/Staff
6C	U.S. Representative/Staff
6D	Federal Judge/Magistrate
6E	Federal Prosecutor
6F	Federal Law Enforcement Officer
6G	Federal Employee - GS 13 & Above
6H	Federal Employee - GS 12 & Below
6J	Governor
6K	Lt. Governor
6L	State Legislator
6M	State Judge/Magistrate

Continuation of GOVERNMENT SUBJECTS

6N	State Prosecutor
6P	State Law Enforcement Officer
6Q	State - All Others
6R	Mayor
6S	Local Legislator
6T	Local Judge/Magistrate
6U	Local Prosecutor
6V	Local Law Enforcement Officer
6W	Local-All Others
6X	County Commissioner
6Y	City Councilman

BANK EMPLOYEES

7A	Bank Officer
7B	Bank Employee

OTHERS

8A	All Other Subjects
8B	Company or Corporation

CHILD PREDATORS

9A	Child Care provider
9B	Clergy
9C	Athletic Coach
9D	Teacher/Aide
9E	*Law Enforcement Personnel*
9F	Counselor
9G	Relative
9H	Stranger
9I	Other

CASE

Office ID:	Sub-Office ID:	Program Code:	GDEP:	Szr Event/Exhibit #:	Delay Forfeiture?
3190		05		3190110048	N

Case Number:	Case Name:
029O-DL-110167	[redacted] Bank of New York Mellon; FIF
Operation Name:	Case Agent: [redacted]

b6
b7C

SEIZURE

Seizure Date:	FIRE Begin Date:	FIRE End Date:	FIRE Type:	Seizure Method:	Indictment Date:	Adoption Date:
	02/08/11		Indicted		02/08/11	

Seizing Agent:	Phone Number:

Seized From:

Place/Address Where Seized:	City:
	State:

Judicial Seizure:	District Processing:	Primary Forfeiture Statute:	Primary Violation Statute:
	TXN	18 USC 982	18 USC 656

ALL ASSET TYPES

Asset Value at Seizure:	Abve Min Thrshld?	Appraisal Date:	Asset Type/Asset Sub-Type:	Forfeiture Type:
0.00	Y		Real Property/Farm/Ranch	Criminal

Asset Description:	Victims Anticipated?
[redacted]	Y

b6
b7C

Liens Exists?	Expenses Incurred?	Hold as Evidence?	Sz From Includes Agent?	Expedited Release Notice Served?	Special Handling Code(s):
N	N	N		N	

HIDTA Case?	SARs Case?	Forfeiture-Dependent Award?	Quick Release Performed?	Intra-Agency Assistance?	Additional Agencies?
N	N	N	N	N	N

Suitable for Official Use?	OCDETF Case?	Parallel Processing?	Sharing Anticipated?	Intl Sharing?	Restitution Case Anticipated?
N	N	N	N	N	Y

COMPLETE IF APPLICABLE

Make:	Model:	Style:	Year Manufactured:	Color/Finish:

VIN/Serial Number/Fiscal Control #:	Condition Code:	NCIC Search Results Positive?
	Good	

License Number:	Year:	State:	Country:	Concealed Compartment?	Photos?
					Y

Special Equipment or Accessories?	Damage Present?	Caliber:
N	N	

PARTY [redacted]

b6
b7C

Party Name: [redacted]	Start: 02/14/11	End:
Address: [redacted]		

City: [redacted]	State: [redacted]	Zip Code: [redacted]	Country:
			USA

Contact Name (if business):	Phone Number(Contact):

Phone Number:	Party Type(s):
	Owner, Occupant

Notice Due Date:	Lien Amount Held By Party ($):	Quick Release Recipient?	Quick Release Date:	Expenses Reimbursed ($):

b6
b7C

02/24/2011 ********** SEIZURE **********

~~SENSITIVE~~ / UNCLASSIFIED

Case Number: 290-DL-110167
Serial No.: 37

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/24/2011
Accom Date.: 02/08/2011

b6
b7C
b7E

Does Accomplishment Involve		Assisting Agents SOC	Subject Name
Drugs	N		
A Fugitive	N		
Bankruptcy Fraud	N		
Computer Fraud/Abuse	N		
Corruption of Public Officials	N		
Money Laundering	N		

RA	Squad	Task Force
HQ	C-1	

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB FIELD SUP	UCO - NAT BACK	VICT-WITN COOR
AIRCRAFT ASST	PEN REGISTERS	NCAVC/VI-CAP	IO WANTED FLYR
COMPUTER ASST	PHOTO COVERGE	CRIM/NS INTEL	SARS
CONSEN MONITR	POLYGRAPH	CRIS NEG-FED	CART
ELSUR/FISC	SRCH WAR EXEC	CRIS NEG-LOC	ASSET FORF PRO
ELSUR/III	SHOW MONEY	ERT ASST	FORF SUPPORT P
ENG FIELD SUP	SOG ASST	BUTTE OSC	TFOS/CTD
ENG TAPE EXAM	SWAT TEAM	SAV OSC	CXS/CTD
LEGATS ASST.	TECH AG/EQUIP	POC SC	INFRAGARD/CYD
EVIDNCE PURCH	TEL TOLL RECS	FT. MON-NRCSC	OFC/CID
INFORMANT/CW	UCO-GROUP I	FOR LANG ASST	PPP
LAB DIV EXAMS	UCO-GROUP II	NON FBI LAB EX	FUSION CENTERS

b7E

TYPE OF SEIZURE: CRIMINAL
DATE OF ACCOMPLISHMENT: 20110208
CATS #: 11-FBI-001684

Accomplishment Narrative

8-30-2010)

quad supervisor approval
lease initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 02/18/2011
Date Loaded _____
Date Loader's Initials _____

b6
b7C

Accomplishment involves: (check all that apply)
Drugs
A Fugitive
Bankruptcy Fraud
Computer Fraud/Abuse
Corruption of Public Official
Money Laundering
Sub Invest Asst by FO(s)

File Number
290-DL-110167

Stat Agent Soc. Sec. No.
- -

Stat Agent Name

RA	Squad
	C-1

Asst. FO(s) ___ ___ ___ ___
A. B. C. D.

Task Force

Assisting Agents Soc. Sec. No. x
1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used

1. Used, but did not help
2. Helped, minimally
3. Helped, substantially
4. Absolutely essential

For Sub. Invest. Assist. by other FO(s) indicate A, B, C, D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Field Sup			UCO - Nat.Back			Vict-Witn Coor
		Aircraft Asst.			Pen Registers			NCAVC/ Vi-CAP			IO Wanted Flyer
		Computer			Photo Cover			Crim/NS Intel Ass			SARs
		Consen Mon.			Polygraph			Crisis Neg.- Fed			CART
		ELSUR / FISC			Search Warrant			Crisis Neg.- Local			Asset Forf Prog
		ELSUR / T. III			Show Money			ERT Asst.			Forf Support Proj
		Eng. Field Spt.			SOG Asst.			Butte - OSC			TFOS/CTD
		Eng. Tape Ex.			Swat Team			Sav - OSC			CXS/CTD
		Legats Asst.			Tech. Ag/Equip.			Poc - SC			InfraGard/CyD
		Evid. Purchase			Phone Toll Rec			Tech Resp Unit			CFC/CID
		Inf/CW Info			UCO - Group I			For. Lang Asst.			PPP
		Lab. Div. Exam			UCO - Group II			Non FBI Lab Ex			Fusion Centers

b6
b7C
b7E

A. Complaint / Information/ Indictment
☐ Federal ☐ Local ☐ International
Complaint Date: _____
Check if Civil Rico Complaint ☐
Information Date: _____
Indictment Date: _____

B. Locate / Arrest
☐ Federal ☐ Local ☐ International
Subject Priority: ☐ A ☐ B ☐ C
Locate Date: _____
Arrest Date: _____
☐ Subject Resisted Arrest
☐ Subject Arrested was Armed

C. Summons Date: _____
☐ Federal ☐ Local

D. Recovery / Restitution / PELP X
☐ Federal ☐ Local ☐ International
Recovery Date: _____
Code • _____ ✓ Amount $ _____
Code • _____ ✓ Amount $ _____
Restitution Date: _____
☐ Court Ordered ☐ Pretrial Diversion
Code • _____ ✓ Amount $ _____
PELP Date: _____
Code • _____ ✓ Amount $ _____

E. Hostages(s) Released Date: _____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: _____

F. Conviction
☐ Federal ☐ Local ☐ International
Conviction Date: _____
Subject Description Code _____ • (_____)•
For 6F, G, H-Include Agency Code
☐ Felony or ☐ Misdemeanor
☐ Plea or ☐ Trial
State: _____ Judicial District: _____

G. U.S. Code Violation
Required for sections A, B, F and J (Federal Only)

Title	Section	# Counts

H. Sentence Date: _____
Sentence Type: _____, _____, _____•
In Jail: Years _____ Months _____
Suspended: Years _____ Months _____
Probation: Years _____ Months _____
Fines: $ _____

I. Disruption/Dismantlement: ✓
Disruption Date: _____
Dismantlement Date: _____
Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: _____
Also complete "Section G"
Other Civil Matters Date: _____
Judgment ___ ___ ___ ___•
Judicial Outcome ___ ___ ___ ___•x
Amount $ _____
Suspension: Years _____ Months _____

K. Administrative Sanction Date: _____
Subject Description Code _____•
Type: ☐ Suspension ☐ Debarment ☐ Injunction
Length: ☐ Permanent or Year _____ Months _____

L. Asset Seizure Date: 02/10/2011
Asset Forfeiture Date: _____
CATS # Mandatory 11-FBI-001682
Circle below one of the three asset forfeiture:
Admin, Civil Judicial, or Criminal
Do not indicate $ value in Section D

M. Acquittal/ Dismissal/ Pretrial Diversion
(Circle one) Date: _____

N. Drug Seizures ✓ **Date:** _____
Drug Code • _____
Weight _____ Code • _____
FDIN _____
Do not indicate $ in Section D

O. Child Victim Information
Child located / identified Date: _____
☐ Living ☐ Deceased

P. Subject Information - Required for all blocks excluding block D (Recovery/PELP), blocks E, I, L and N

Name	Race•	Sex	Date of Birth	Social Security No. (if available)

For Indictments/Convictions only:
☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Caribbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.
☐ Subject related to an OC/Drug organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

x Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
• See codes on reverse side.
✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1 m and PELP over $5 m, disruption, dismantlement, and drug seizures.

Serial No. of FD-515
38

290-DL-110167-38

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.
Revised 12-19-2006

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02	Stocks, Bonds or Negot. Instruments
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23	Counterfeit/Pirated Sound Recordings or Motion Pictures
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25	Ransom, Extortion or Bribe Demand Aborted
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CO	Court Ordered Settlement
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DI	Dismissal
JN	Judgment Notwithstanding
MV	Mixed Verdict
SJ	Summary Judgment
VD	Verdict for Defendant
VP	Verdict for Plaintiff

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FI	Fine
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PR	Temporary Restraining Order
PS	Pre-filing Settlement
RN	Restitution
SP	Suspension
VR	Voluntary Resignation
OT	Other

SUBJECT PRIORITY

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C	All other subjects.

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HSH	Hashish
KAT	Khat
LSD	LSD
MAR	Marijuana
MDM	*Methylenedioxymethamphetamine*
MET	Methamphetamine
MOR	Morphine
OPM	Opium
OTD	Other drugs

DRUG WEIGHT CODES

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KG	Kilogram(s)
L	Liter(s)
ML	Milliliter(s)
P	Plant(s)
DU	Dosage Unit(s)

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F	Boss
1G	Underboss
1H	Consigliere
1J	Acting Boss
1K	Capodecina
1L	Soldier

KNOWN CRIMINALS

2A	Top Ten or I.O. Fugitive
2B	Top Thief
2C	Top Con Man

FOREIGN NATIONALS

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3B	Illegal Alien
3C	Foreign Official W/out Diplomatic Immunity
3D	U.N. Employee W/out Diplomatic Immunity
3E	Foreign Student
3F	All Others

TERRORISTS

4A	Known Member of a Terrorist Organization
4B	Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D	President
5E	Vice-President
5F	Treasurer
5G	Secretary/Treasurer
5H	Executive Board Member
5I	Business Agent
5J	Representative
5K	Organizer
5L	Business Manager
5M	Financial Secretary
5N	Recording Secretary
5P	Office Manager
5Q	Clerk
5R	Shop Steward
5S	Member
5T	Trustee
5U	Other

GOVERNMENT SUBJECTS
(6F, 6G, 6H- Include Agency Code)

6A	Presidential Appointee
6B	U.S. Senator/Staff
6C	U.S. Representative/Staff
6D	Federal Judge/Magistrate
6E	Federal Prosecutor
6F	Federal Law Enforcement Officer
6G	Federal Employee - GS 13 & Above
6H	Federal Employee - GS 12 & Below
6J	Governor
6K	Lt. Governor
6L	State Legislator
6M	State Judge/Magistrate

Continuation of GOVERNMENT SUBJECTS

6N	State Prosecutor
6P	State Law Enforcement Officer
6Q	State - All Others
6R	Mayor
6S	Local Legislator
6T	Local Judge/Magistrate
6U	Local Prosecutor
6V	Local Law Enforcement Officer
6W	Local-All Others
6X	County Commissioner
6Y	City Councilman

BANK EMPLOYEES

7A	Bank Officer
7B	Bank Employee

OTHERS

8A	All Other Subjects
8B	Company or Corporation

CHILD PREDATORS

9A	Child Care provider
9B	Clergy
9C	Athletic Coach
9D	Teacher/Aide
9E	*Law Enforcement Personnel*
9F	Counselor
9G	Relative
9H	Stranger
9I	Other

CASE

Office ID:	Sub-Office ID:	Program Code:	GDEP:	Szr Event/Exhibit #:	Delay Forfeiture?
3190		05		3190110041	N

Case Number:	Case Name:
029O-DL-110167	[redacted] Bank of New York Mellon; FIF
Operation Name:	Case Agent: [redacted]

b6
b7C

SEIZURE

Seizure Date:	FIRE Begin Date:	FIRE End Date:	FIRE Type:	Seizure Method:	Indictment Date:	Adoption Date:
02/10/11				Seizure Warrant	02/08/11	

Seizing Agent:	Phone Numbe
[redacted]	[redacted]

Seized From: [redacted]

Place/Address Where Seized:	City:	State:
[redacted]	[redacted]	[redacted]

b6
b7C

Judicial Seizure:	District Processing:	Primary Forfeiture Statute:	Primary Violation Statute:
TXN	TXN	18 USC 981(a)(1)(C)	18 USC 1343

ALL ASSET TYPES

Asset Value at Seizure:	Abve Min Thrshld?	Appraisal Date:	Asset Type/Asset Sub-Type:	Forfeiture Type:
[redacted]	Y	02/17/11	Vehicles/Truck	Administrative

Asset Description:	Victims Anticipated?
2007 Dodge Ram 2500 Heavy Duty Truck	Y

Liens Exists?	Expenses Incurred?	Hold as Evidence?	Sz From Includes Agent?	Expedited Release Notice Served?	Special Handling Code(s):
N	N	N		N	

HIDTA Case?	SARs Case?	Forfeiture-Dependent Award?	Quick Release Performed?	Intra-Agency Assistance?	Additional Agencies?
N	N	N	N	N	N

Suitable for Official Use?	OCDETF Case?	Parallel Processing?	Sharing Anticipated?	Intl Sharing?	Restitution Case Anticipated?
N	N	Y	N	N	Y

COMPLETE IF APPLICABLE

Make:	Model:	Style:	Year Manufactured:	Color/Finish:
Dodge	Ram 2500	Heavy Duty	07	Dark Blue

VIN/Serial Number/Fiscal Control #:	Condition Code:	NCIC Search Results Positive?
[redacted]	Good	Not Found

b6
b7C

License Number:	Year:	State:	Country:	Concealed Compartment?	Photos?
[redacted]	11	[redacted]	USA	N	Y

Special Equipment or Accessories?	Damage Present?	Caliber:
N	N	

VEHICLES

Odometer Reading (include units):	Size:	Weight (include units):
71,726	O	

Number of Cylinders:	Four Wheel Drive?	Salvage Certificate:
0	N	N

02/24/2011 ********** SEIZURE **********

~~SENSITIVE~~ / UNCLASSIFIED

Case Number: 290-DL-110167
Serial No.: 38

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/24/2011
Accom Date.: 02/10/2011

Does Accomplishment Involve	
Drugs	N
A Fugitive	N
Bankruptcy Fraud	N
Computer Fraud/Abuse	N
Corruption of Public Officials	N
Money Laundering	N

Assisting Agents SOC

Subject Name

b6
b7C
b7E

RA	Squad	Task Force
HQ	C-1	

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB FIELD SUP	UCO - NAT BACK	VICT-WITN COOR
AIRCRAFT ASST	PEN REGISTERS	NCAVC/VI-CAP	IO WANTED FLYR
COMPUTER ASST	PHOTO COVERGE	CRIM/NS INTEL	SARS
CONSEN MONITR	POLYGRAPH	CRIS NEG-FED	CART
ELSUR/FISC	SRCH WAR EXEC	CRIS NEG-LOC	ASSET FORF PRO
ELSUR/III	SHOW MONEY	ERT ASST	FORF SUPPORT P
ENG FIELD SUP	SOG ASST	BUTTE OSC	TFOS/CTD
ENG TAPE EXAM	SWAT TEAM	SAV OSC	CXS/CTD
LEGATS ASST.	TECH AG/EQUIP	POC SC	INFRAGARD/CYD
EVIDNCE PURCH	TEL TOLL RECS	FT. MON-NRCSC	OFC/CID
INFORMANT/CW	UCO-GROUP I	FOR LANG ASST	PPP
LAB DIV EXAMS	UCO-GROUP II	NON FBI LAB EX	FUSION CENTERS

b7E

TYPE OF SEIZURE: CRIMINAL
DATE OF ACCOMPLISHMENT: 20110210
CATS #: 11-FBI-001682

Accomplishment Narrative

(v. 8-30-2010)

Squad supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 02/18/2011

Date Loaded _____

Date Loader's Initials _____

b6
b7C

Accomplishment involves: (check all that apply)
Drugs
A Fugitive
Bankruptcy Fraud
Computer Fraud/Abuse
Corruption of Public Official
Money Laundering
Sub Invest Asst by FO(s)

File Number
290-DL-110167

Stat Agent Soc. Sec. No.
- -

Stat Agent Name

RA	Squad
	C-1

Asst. FO(s) ___ ___ ___ ___
A. B. C. D.

Task Force

Assisting Agents Soc. Sec. No. x
1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used

1. Used, but did not help
2. Helped, minimally
3. Helped, substantially
4. Absolutely essential

For Sub. Invest. Assist. by other FO(s) indicate A, B, C, D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Field Sup			UCO - Nat.Back			Vict-Witn Coor
		Aircraft Asst.			Pen Registers			NCAVC/ Vi-CAF			IO Wanted Flyer
		Computer			Photo Cover			Crim/NS Intel Ass			SARs
		Consen Mon.			Polygraph			Crisis Neg.- Fed			CART
		ELSUR / FISC			Search Warran			Crisis Neg.- Local			Asset Forf Prog
		ELSUR / T. III			Show Money			ERT Asst.			Forf Support Proj
		Eng. Field Spt.			SOG Asst.			Butte - OSC			TFOS/CTD
		Eng. Tape Ex.			Swat Team			Sav - OSC			CXS/CTD
		Legats Asst.			Tech. Ag/Equip			Poc - SC			InfraGard/CyD
		Evid. Purchase			Phone Toll Rec			Tech Resp Unit			OFC/CID
		Inf/CW Info			UCO - Group I			For. Lang Asst.			PPP
		Lab. Div. Exam			UCO - Group II			Non FBI Lab Ex			Fusion Centers

b6
b7C
b7E

A. Complaint / Information/ Indictment

☐ Federal ☐ Local ☐ International

Complaint Date: _____

Check if Civil Rico Complaint ☐

Information Date: _____

Indictment Date: _____

B. Locate / Arrest

☐ Federal ☐ Local ☐ International

Subject Priority: ☐ A ☐ B ☐ C

Locate Date: _____

Arrest Date: _____

☐ Subject Resisted Arrest

☐ Subject Arrested was Armed

C. Summons Date: _____

☐ Federal ☐ Local

D. Recovery / Restitution / PELP X

☐ Federal ☐ Local ☐ International

Recovery Date: _____

Code • _____ ✓ Amount $ _____

Code • _____ ✓ Amount $ _____

Restitution Date: _____

☐ Court Ordered ☐ Pretrial Diversion

Code • _____ ✓ Amount $ _____

PELP Date: _____

Code • _____ ✓ Amount $ _____

E. Hostages(s) Released Date: _____

Released by: ☐ Terrorist ☐ Other

Number of Hostages: _____

F. Conviction

☐ Federal ☐ Local ☐ International

Conviction Date: _____

Subject Description Code _____ • (_____)•

For 6F, G, H-Include Agency Code

☐ Felony or ☐ Misdemeanor

☐ Plea or ☐ Trial

State: _____ Judicial District: _____

G. U.S. Code Violation

Required for sections A, B, F and J (Federal Only)

Title	Section	# Counts

H. Sentence Date: _____

Sentence Type: _____, _____, _____•

In Jail: Years _____ Months _____

Suspended: Years _____ Months _____

Probation: Years _____ Months _____

Fines: $ _____

I. Disruption/Dismantlement: ✓

Disruption Date: _____

Dismantlement Date: _____

Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: _____

Also complete "Section G"

Other Civil Matters Date: _____

Judgment _____•

Judicial Outcome _____•x

Amount $ _____

Suspension: Years _____ Months _____

K. Administrative Sanction Date: _____

Subject Description Code _____•

Type: ☐ Suspension ☐ Debarment ☐ Injunction

Length: ☐ Permanent or Year _____ Months _____

L. Asset Seizure Date: 02/10/2011

Asset Forfeiture Date: _____

CATS # Mandatory 11-FBI-001687

Circle below one of the three asset forfeiture:

Admin, Civil Judicial, or Criminal

Do not indicate $ value in Section D

M. Acquittal/ Dismissal/ Pretrial Diversion

(Circle one) Date: _____

N. Drug Seizures ✓ **Date:** _____

Drug Code • _____

Weight _____ Code • _____

FDIN _____

Do not indicate $ in Section D

O. Child Victim Information

Child located / identified Date: _____

☐ Living ☐ Deceased

P. Subject Information - Required for all blocks excluding block D (Recovery/PELP), blocks E, I, L and N

Name	Race •	Sex	Date of Birth	Social Security No. (if available)

For Indictments/Convictions only:

☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Canbbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.

☐ Subject related to an OC/Drug organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

Serial No. of FD-515: 39

x Additional information may be added by attaching another form or a plain sheet of paper for additional entries.

• See codes on reverse side.

✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1 m and PELP over $5 m, disruption, dismantlement, and drug seizures.

290-DL-110167-39

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.
Revised 12-19-2006

PROPERTY CODES

01	Cash
02	Stocks, Bonds or Negot. Instruments
03	General Retail Merchandise
04	Vehicles
05	Heavy Machinery & Equipment
06	Aircraft
07	Jewelry
08	Vessels
09	Art, Antiques or Rare Collections
11	Real Property
20	All Other

SENTENCE TYPES

CP	Capital Punishment
JS	Jail Sentence
LP	Life Parole
LS	Life Sentence
NS	No Sentence (Subject is a Fugitive, Insane, has died, is a Corporation or must pay fine only)
PB	Probation
SJ	Suspension of Jail Sentence
YC	Youth Correction Act

PELP CODES

22	Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23	Counterfeit/Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft From or Fraud Against Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other

RACE CODES

A	Asian/Pacific Islander
B	Black
I	Indian/American
U	Unknown
W	White
X	Nonindividual

AGENCY CODES

AFOSI	Air Force Office of Special Investigations
ACIS	Army Criminal Investigative Service
BATF	Bureau of Alcohol, Tobacco & Firearms
BIA	Bureau of Indian Affairs
CBP	Customs and Border Protection
DCAA	Defense Contract Audit Agency
DCIS	Defense Criminal Investigative Service
DEA	Drug Enforcement Administration
DOC	Department of Corrections
DOI	Dept. of Interior
DHS	Dept. of Homeland Security
EPA	Environmental Protection Agency
FAA	Federal Aviation Administration
FDA	Food and Drug Administration
HHS	Dept. of Health & Human Services
HUD	Dept. of Housing & Urban Development
ICE	Immigration and Customs Enforcement
IRS	Internal Revenue Service
NASA	Nat'l Aeronautics & Space Admin
NBIS	Nat'l NARC Border Interdiction
NCIS	Naval Criminal Investigative Service
RCMP	Royal Canadian Mounted Police
SBA	Small Business Administration
USCG	U.S. Coast Guard
USDS	U.S. Department of State
USMS	U.S. Marshals Service
USPS	U.S. Postal Service
USSS	U.S. Secret Service
USTR	U.S. Treasury
LOC	Local
CITY	City
COUN	County
ST	State
OTHR	Other

JUDGMENT CODES

CJ	Consent Judgment
CO	Court Ordered Settlement
DF	Default Judgment
DI	Dismissal
JN	Judgment Notwithstanding
MV	Mixed Verdict
SJ	Summary Judgment
VD	Verdict for Defendant
VP	Verdict for Plaintiff

JUDICIAL OUTCOME

AG	Agreement
BR	Barred/Removed
CC	Civil Contempt
DC	Disciplinary Charges
FI	Fine
PI	Preliminary Injunction
PR	Temporary Restraining Order
PS	Pre-filing Settlement
RN	Restitution
SP	Suspension
VR	Voluntary Resignation
OT	Other

SUBJECT PRIORITY

A	Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years.
B	Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.
C	All other subjects.

DRUG CODES

COC	Cocaine
HER	Heroin
HSH	Hashish
KAT	Khat
LSD	LSD
MAR	Marijuana
MDM	Methylenedioxymethamphetamine
MET	Methamphetamine
MOR	Morphine
OPM	Opium
OTD	Other drugs

DRUG WEIGHT CODES

GM	Gram(s)
KG	Kilogram(s)
L	Liter(s)
ML	Milliliter(s)
P	Plant(s)
DU	Dosage Unit(s)

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F	Boss
1G	Underboss
1H	Consigliere
1J	Acting Boss
1K	Capodecina
1L	Soldier

KNOWN CRIMINALS

2A	Top Ten or I.O. Fugitive
2B	Top Thief
2C	Top Con Man

FOREIGN NATIONALS

3A	Legal Alien
3B	Illegal Alien
3C	Foreign Official W/out Diplomatic Immunity
3D	U.N. Employee W/out Diplomatic Immunity
3E	Foreign Student
3F	All Others

TERRORISTS

4A	Known Member of a Terrorist Organization
4B	Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D	President
5E	Vice-President
5F	Treasurer
5G	Secretary/Treasurer
5H	Executive Board Member
5I	Business Agent
5J	Representative
5K	Organizer
5L	Business Manager
5M	Financial Secretary
5N	Recording Secretary
5P	Office Manager
5Q	Clerk
5R	Shop Steward
5S	Member
5T	Trustee
5U	Other

GOVERNMENT SUBJECTS
(6F, 6G, 6H- Include Agency Code)

6A	Presidential Appointee
6B	U.S. Senator/Staff
6C	U.S. Representative/Staff
6D	Federal Judge/Magistrate
6E	Federal Prosecutor
6F	Federal Law Enforcement Officer
6G	Federal Employee - GS 13 & Above
6H	Federal Employee - GS 12 & Below
6J	Governor
6K	Lt. Governor
6L	State Legislator
6M	State Judge/Magistrate

Continuation of GOVERNMENT SUBJECTS

6N	State Prosecutor
6P	State Law Enforcement Officer
6Q	State - All Others
6R	Mayor
6S	Local Legislator
6T	Local Judge/Magistrate
6U	Local Prosecutor
6V	Local Law Enforcement Officer
6W	Local-All Others
6X	County Commissioner
6Y	City Councilman

BANK EMPLOYEES

7A	Bank Officer
7B	Bank Employee

OTHERS

8A	All Other Subjects
8B	Company or Corporation

CHILD PREDATORS

9A	Child Care provider
9B	Clergy
9C	Athletic Coach
9D	Teacher/Aide
9E	Law Enforcement Personnel
9F	Counselor
9G	Relative
9H	Stranger
9I	Other

CASE

Office ID:	Sub-Office ID:	Program Code:	GDEP:	Szr Event/Exhibit #:	Delay Forfeiture?
3190		05		3190110042	N

Case Number:	Case Name:
029O-DL-110167	[redacted] Bank of New York Mellon; FIF
Operation Name:	Case Agent: [redacted]

b6
b7C

SEIZURE

Seizure Date:	FIRE Begin Date:	FIRE End Date:	FIRE Type:	Seizure Method:	Indictment Date:	Adoption Date:
02/10/11				Seizure Warrant	02/08/11	

Seizing Agent:	Phone Number:
[redacted]	[redacted]

b6
b7C

Seized From: [redacted]

Place/Address Where Seized:	City:	State:
[redacted]	[redacted]	

Judicial Seizure:	District Processing:	Primary Forfeiture Statute:	Primary Violation Statute:
TXN	TXN	18 USC 981(a)(1)(C)	18 USC 1343

ALL ASSET TYPES

Asset Value at Seizure:	Abve Min Thrshld?	Appraisal Date:	Asset Type/Asset Sub-Type:	Forfeiture Type:
[redacted]	Y	02/17/11	Vehicles/Other/Tractor	Administrative

Asset Description:	Victims Anticipated?
2002 Freightliner Tractor	Y

Liens Exists?	Expenses Incurred?	Hold as Evidence?	Sz From Includes Agent?	Expedited Release Notice Served?	Special Handling Code(s):
N	N	N		N	

HIDTA Case?	SARs Case?	Forfeiture-Dependent Award?	Quick Release Performed?	Intra-Agency Assistance?	Additional Agencies?
N	N	N	N	N	N

Suitable for Official Use?	OCDETF Case?	Parallel Processing?	Sharing Anticipated?	Intl Sharing?	Restitution Case Anticipated?
N	N	Y	N	N	Y

COMPLETE IF APPLICABLE

Make:	Model:	Style:	Year Manufactured:	Color/Finish:
2002	Freightliner	Tractor	02	White

VIN/Serial Number/Fiscal Control #:	Condition Code:	NCIC Search Results Positive?
[redacted]	Good	Not Found

b6
b7C

License Number:	Year:	State:	Country:	Concealed Compartment?	Photos?
[redacted]	11	[redacted]	USA	N	Y

Special Equipment or Accessories?	Damage Present?	Caliber:
N	N	

VEHICLES

Odometer Reading (include units):	Size:	Weight (include units):
517,334	O	

Number of Cylinders:	Four Wheel Drive?	Salvage Certificate:
0	N	N

02/24/2011 ********** SEIZURE **********

~~SENSITIVE~~ / UNCLASSIFIED

Case Number: 290-DL-110167
Serial No.: 39

Stat Agent Name: [redacted]
Stat Agent SOC.: [redacted]

Report Date: 02/24/2011
Accom Date.: 02/10/2011

b6
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b7E

Does Accomplishment Involve		Assisting Agents SOC	Subject Name
Drugs	N		
A Fugitive	N		
Bankruptcy Fraud	N		
Computer Fraud/Abuse	N		
Corruption of Public Officials	N		
Money Laundering	N		

RA	Squad	Task Force
HQ	C-1	

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

[ ] FINAN ANALYST	[ ] LAB FIELD SUP	[ ] UCO - NAT BACK	[ ] VICT-WITN COOR
[ ] AIRCRAFT ASST	[ ] PEN REGISTERS	[ ] NCAVC/VI-CAP	[ ] IO WANTED FLYR
[ ] COMPUTER ASST	[ ] PHOTO COVERGE	[ ] CRIM/NS INTEL	[ ] SARS
[ ] CONSEN MONITR	[ ] POLYGRAPH	[ ] CRIS NEG-FED	[ ] CART
[ ] ELSUR/FISC	[ ] SRCH WAR EXEC	[ ] CRIS NEG-LOC	[ ] ASSET FORF PRO
[ ] ELSUR/III	[ ] SHOW MONEY	[ ] ERT ASST	[ ] FORF SUPPORT P
[ ] ENG FIELD SUP	[ ] SOG ASST	[ ] BUTTE OSC	[ ] TFOS/CTD
[ ] ENG TAPE EXAM	[ ] SWAT TEAM	[ ] SAV OSC	[ ] CXS/CTD
[ ] LEGATS ASST.	[ ] TECH AG/EQUIP	[ ] POC SC	[ ] INFRAGARD/CYD
[ ] EVIDNCE PURCH	[ ] TEL TOLL RECS	[ ] FT. MON-NRCSC	[ ] OFC/CID
[ ] INFORMANT/CW	[ ] UCO-GROUP I	[ ] FOR LANG ASST	[ ] PPP
[ ] LAB DIV EXAMS	[ ] UCO-GROUP II	[ ] NON FBI LAB EX	[ ] FUSION CENTERS

b7E

TYPE OF SEIZURE: CRIMINAL
DATE OF ACCOMPLISHMENT: 20110210
CATS #: 11-FBI-001687

Accomplishment Narrative

-30-2010)
ad supervisor approval
ase initial)

Accomplishment Report
(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 02/18/2011
Date Loaded
Date Loader's Initials

b6
b7C

Accomplishment involves: (check all that apply)
Drugs
A Fugitive
Bankruptcy Fraud
Computer Fraud/Abuse
Corruption of Public Official
Money Laundering
Sub Invest Asst by FO(s)

File Number
290-DL-110167

Stat Agent Soc. Sec. No.
- -

Stat Agent Name

RA	Squad
	C-1

Asst. FO(s) A. B. C. D.

Task Force

Assisting Agents Soc. Sec. No. x
1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used

1. Used, but did not help
2. Helped, minimally
3. Helped, substantially
4. Absolutely essential

For Sub. Invest. Assist. by other FO(s) indicate A, B, C, D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Field Sup			UCO - Nat.Bach			Vict-Witn Coor
		Aircraft Asst.			Pen Registers			NCAVC/ Vi-CAP			IO Wanted Flyer
		Computer			Photo Cover			Crim/NS Intel Ass			SARs
		Consen Mon.			Polygraph			Crisis Neg.- Fed			CART
		ELSUR / FISC			Search Warrant			Crisis Neg.- Local			Asset Forf Prog
		ELSUR / T. III			Show Money			ERT Asst.			Forf Support Proj
		Eng. Field Spt.			SOG Asst.			Butte - OSC			TFOS/CTD
		Eng. Tape Ex.			Swat Team			Sav - OSC			CXS/CTD
		Legats Asst.			Tech. Ag/Equip.			Poc - SC			InfraGard/CyD
		Evid. Purchase			Phone Toll Rec			Tech Resp Unit			OFC/CID
		Inf/CW Info			UCO - Group I			For. Lang Asst.			PPP
		Lab. Div. Exam			UCO - Group II			Non FBI Lab Ex			Fusion Centers

b6
b7C
b7E

A. Complaint / Information/ Indictment
☐ Federal ☐ Local ☐ International
Complaint Date:
Check if Civil Rico Complaint ☐
Information Date:
Indictment Date:

B. Locate / Arrest
☐ Federal ☐ Local ☐ International
Subject Priority: ☐ A ☐ B ☐ C
Locate Date:
Arrest Date:
☐ Subject Resisted Arrest
☐ Subject Arrested was Armed

C. Summons Date:
☐ Federal ☐ Local

D. Recovery / Restitution / PELP X
☐ Federal ☐ Local ☐ International
Recovery Date:
Code • ✓ Amount $
Code • ✓ Amount $
Restitution Date:
☐ Court Ordered ☐ Pretrial Diversion
Code • ✓ Amount $
PELP Date:
Code • ✓ Amount $

E. Hostages(s) Released Date:
Released by: ☐ Terrorist ☐ Other
Number of Hostages:

F. Conviction
☐ Federal ☐ Local ☐ International
Conviction Date:
Subject Description Code • ( )•
For 6F, G, H-Include Agency Code
☐ Felony or ☐ Misdemeanor
☐ Plea or ☐ Trial
State: Judicial District:

G. U.S. Code Violation
Required for sections A, B, F and J (Federal Only)

Title	Section	# Counts

H. Sentence Date:
Sentence Type: , , •
In Jail: Years Months
Suspended: Years Months
Probation: Years Months
Fines: $

I. Disruption/Dismantlement: ✓
Disruption Date:
Dismantlement Date:
Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date:
Also complete "Section G"
Other Civil Matters Date:
Judgment • • • •
Judicial Outcome • • • • •x
Amount $:
Suspension: Years Months

K. Administrative Sanction Date:
Subject Description Code •
Type: ☐ Suspension ☐ Debarment ☐ Injunction
Length: ☐ Permanent or Year Months

L. Asset Seizure Date: 02/08/2011
Asset Forfeiture Date:
CATS # Mandatory 11-FBI-001679
Circle below one of the three asset forfeiture:
Admin, Civil Judicial, or (Criminal)
Do not indicate $ value in Section D

M. Acquittal/ Dismissal/ Pretrial Diversion
(Circle one) Date:

N. Drug Seizures ✓ **Date:**
Drug Code •
Weight Code •
FDIN
Do not indicate $ in Section D

O. Child Victim Information
Child located / identified Date:
☐ Living ☐ Deceased

P. Subject Information - Required for all blocks excluding block D (Recovery/PELP), blocks E, I, L and N

Name	Race•	Sex	Date of Birth	Social Security No. (if available)

For Indictments/Convictions only:
☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Canbbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.
☐ Subject related to an OC/Drug organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

Serial No. of FD-515
40

x Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
• See codes on reverse side.
✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1 m and PELP over $5 m, disruption, dismantlement, and drug seizures.

290-DL-110167-40

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.
Revised 12-19-2006

PROPERTY CODES

01	Cash
02	Stocks, Bonds or Negot. Instruments
03	General Retail Merchandise
04	Vehicles
05	Heavy Machinery & Equipment
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07	Jewelry
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27	Commercial or Industrial Theft Scheme Aborted
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RACE CODES

A	Asian/Pacific Islander
B	Black
I	Indian/American
U	Unknown
W	White
X	Nonindividual

AGENCY CODES

AFOSI	Air Force Office of Special Investigations
ACIS	Army Criminal Investigative Service
BATF	Bureau of Alcohol, Tobacco & Firearms
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DCIS	Defense Criminal Investigative Service
DEA	Drug Enforcement Administration
DOC	Department of Corrections
DOI	Dept. of Interior
DHS	Dept. of Homeland Security
EPA	Environmental Protection Agency
FAA	Federal Aviation Administration
FDA	Food and Drug Administration
HHS	Dept. of Health & Human Services
HUD	Dept. of Housing & Urban Development
ICE	Immigration and Customs Enforcement
IRS	Internal Revenue Service
NASA	Nat'l Aeronautics & Space Admin
NBIS	Nat'l NARC Border Interdiction
NCIS	Naval Criminal Investigative Service
RCMP	Royal Canadian Mounted Police
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USCG	U.S. Coast Guard
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USPS	U.S. Postal Service
USSS	U.S. Secret Service
USTR	U.S. Treasury
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CITY	City
COUN	County
ST	State
OTHR	Other

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CO	Court Ordered Settlement
DF	Default Judgment
DI	Dismissal
JN	Judgment Notwithstanding
MV	Mixed Verdict
SJ	Summary Judgment
VD	Verdict for Defendant
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FI	Fine
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PR	Temporary Restraining Order
PS	Pre-filing Settlement
RN	Restitution
SP	Suspension
VR	Voluntary Resignation
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SUBJECT PRIORITY

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KAT	Khat
LSD	LSD
MAR	Marijuana
MDM	Methylenedioxymethamphetamine
MET	Methamphetamine
MOR	Morphine
OPM	Opium
OTD	Other drugs

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GM	Gram(s)
KG	Kilogram(s)
L	Liter(s)
ML	Milliliter(s)
P	Plant(s)
DU	Dosage Unit(s)

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F	Boss
1G	Underboss
1H	Consigliere
1J	Acting Boss
1K	Capodecina
1L	Soldier

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2A	Top Ten or I.O. Fugitive
2B	Top Thief
2C	Top Con Man

FOREIGN NATIONALS

3A	Legal Alien
3B	Illegal Alien
3C	Foreign Official W/out Diplomatic Immunity
3D	U.N. Employee W/out Diplomatic Immunity
3E	Foreign Student
3F	All Others

TERRORISTS

4A	Known Member of a Terrorist Organization
4B	Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D	President
5E	Vice-President
5F	Treasurer
5G	Secretary/Treasurer
5H	Executive Board Member
5I	Business Agent
5J	Representative
5K	Organizer
5L	Business Manager
5M	Financial Secretary
5N	Recording Secretary
5P	Office Manager
5Q	Clerk
5R	Shop Steward
5S	Member
5T	Trustee
5U	Other

GOVERNMENT SUBJECTS
(6F, 6G, 6H- Include Agency Code)

6A	Presidential Appointee
6B	U.S. Senator/Staff
6C	U.S. Representative/Staff
6D	Federal Judge/Magistrate
6E	Federal Prosecutor
6F	Federal Law Enforcement Officer
6G	Federal Employee - GS 13 & Above
6H	Federal Employee - GS 12 & Below
6J	Governor
6K	Lt. Governor
6L	State Legislator
6M	State Judge/Magistrate

Continuation of GOVERNMENT SUBJECTS

6N	State Prosecutor
6P	State Law Enforcement Officer
6Q	State - All Others
6R	Mayor
6S	Local Legislator
6T	Local Judge/Magistrate
6U	Local Prosecutor
6V	Local Law Enforcement Officer
6W	Local-All Others
6X	County Commissioner
6Y	City Councilman

BANK EMPLOYEES

7A	Bank Officer
7B	Bank Employee

OTHERS

8A	All Other Subjects
8B	Company or Corporation

CHILD PREDATORS

9A	Child Care provider
9B	Clergy
9C	Athletic Coach
9D	Teacher/Aide
9E	Law Enforcement Personnel
9F	Counselor
9G	Relative
9H	Stranger
9I	Other

CASE

Office ID:	Sub-Office ID:	Program Code:	GDEP:	Szr Event/Exhibit #:	Delay Forfeiture?
3190		05		3190110045	N

Case Number:	Case Name:
029O-DL-110167	[redacted] Bank of New York Mellon; FIF
Operation Name:	Case Agent: [redacted]

b6
b7C

SEIZURE

Seizure Date:	FIRE Begin Date:	FIRE End Date:	FIRE Type:	Seizure Method:	Indictment Date:	Adoption Date:
	02/08/11		Indicted		02/08/11	

Seizing Agent:	Phone Number:

Seized From:

Place/Address Where Seized:	City:
	State:

Judicial Seizure:	District Processing:	Primary Forfeiture Statute:	Primary Violation Statute:
	TXN	18 USC 982	18 USC 656

ALL ASSET TYPES

Asset Value at Seizure:	Abve Min Thrshld?	Appraisal Date:	Asset Type/Asset Sub-Type:	Forfeiture Type:
0.00	Y		Real Property/Farm/Ranch	Criminal

Asset Description:	Victims Anticipated?
[redacted]	Y

b6
b7C

Liens Exists?	Expenses Incurred?	Hold as Evidence?	Sz From Includes Agent?	Expedited Release Notice Served?	Special Handling Code(s):
Y	N	N		N	

HIDTA Case?	SARs Case?	Forfeiture-Dependent Award?	Quick Release Performed?	Intra-Agency Assistance?	Additional Agencies?
N	N	N	N	N	N

Suitable for Official Use?	OCDETF Case?	Parallel Processing?	Sharing Anticipated?	Intl Sharing?	Restitution Case Anticipated?
N	N	N	N	N	Y

COMPLETE IF APPLICABLE

Make:	Model:	Style:	Year Manufactured:	Color/Finish:

VIN/Serial Number/Fiscal Control #:	Condition Code:	NCIC Search Results Positive?
	Good	

License Number:	Year:	State:	Country:	Concealed Compartment?	Photos?
					Y

Special Equipment or Accessories?	Damage Present?	Caliber:
N	N	

PARTY [redacted]

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b7C

Party Name: [redacted]	Start: 02/14/11	End:

Address: [redacted]

City: [redacted]	State: [redacted]	Zip Code: [redacted]	Country:
			USA

Contact Name (if business):	Phone Number(Contact):

Phone Number:	Party Type(s):
	Occupant

Notice Due Date:	Lien Amount Held By Party ($):	Quick Release Recipient?	Quick Release Date:	Expenses Reimbursed ($):

b6
b7C

02/24/2011 ********** SEIZURE **********

~~SENSITIVE~~ / UNCLASSIFIED

Case Number: 290-DL-110167
Serial No.: 40

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/24/2011
Accom Date.: 02/08/2011

Does Accomplishment Involve	
Drugs	N
A Fugitive	N
Bankruptcy Fraud	N
Computer Fraud/Abuse	N
Corruption of Public Officials	N
Money Laundering	N

Assisting Agents SOC

Subject Name

b6
b7C
b7E

RA	Squad	Task Force
HQ	C-1	

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB FIELD SUP	UCO - NAT BACK	VICT-WITN COOR
AIRCRAFT ASST	PEN REGISTERS	NCAVC/VI-CAP	IO WANTED FLYR
COMPUTER ASST	PHOTO COVERGE	CRIM/NS INTEL	SARS
CONSEN MONITR	POLYGRAPH	CRIS NEG-FED	CART
ELSUR/FISC	SRCH WAR EXEC	CRIS NEG-LOC	ASSET FORF PRO
ELSUR/III	SHOW MONEY	ERT ASST	FORF SUPPORT P
ENG FIELD SUP	SOG ASST	BUTTE OSC	TFOS/CTD
ENG TAPE EXAM	SWAT TEAM	SAV OSC	CXS/CTD
LEGATS ASST.	TECH AG/EQUIP	POC SC	INFRAGARD/CYD
EVIDNCE PURCH	TEL TOLL RECS	FT. MON-NRCSC	OFC/CID
INFORMANT/CW	UCO-GROUP I	FOR LANG ASST	PPP
LAB DIV EXAMS	UCO-GROUP II	NON FBI LAB EX	FUSION CENTERS

TYPE OF SEIZURE: CRIMINAL
DATE OF ACCOMPLISHMENT: 20110208
CATS #: 11-FBI-001679

Accomplishment Narrative

(Rev. 8-30-2010)

Squad supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 02/18/2011
Date Loaded _____
Date Loader's Initials _____

b6
b7C

Accomplishment involves: (check all that apply)

- Drugs
- A Fugitive
- Bankruptcy Fraud
- Computer Fraud/Abuse
- Corruption of Public Official
- Money Laundering
- Sub Invest Asst by FO(s)

Asst. FO(s) ___ ___ ___ ___ (A. B. C. D.)

Task Force

File Number: 290-DL-110167

Stat Agent Soc. Sec. No.: - -

Stat Agent Name

RA	Squad
	C-1

Assisting Agents Soc. Sec. No. x

1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used

1. Used, but did not help
2. Helped, minimally
3. Helped, substantially
4. Absolutely essential

For Sub. Invest. Assist. by other FO(s) indicate A, B, C, D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Field Sup			UCO - Nat.Back			Vict-Witn Coor
		Aircraft Asst.			Pen Registers			NCAVC/ Vi-CAP			IO Wanted Flyer
		Computer			Photo Cover			Crim/NS Intel Asst			SARs
		Consen Mon.			Polygraph			Crisis Neg.- Fed			CART
		ELSUR / FISC			Search Warrant			Crisis Neg.- Local			Asset Forf Prog
		ELSUR / T. III			Show Money			ERT Asst.			Forf Support Proj
		Eng. Field Spt.			SOG Asst.			Butte - OSC			TFOS/CTD
		Eng. Tape Ex.			Swat Team			Sav - OSC			CXS/CTD
		Legats Asst.			Tech. Ag/Equip.			Poc - SC			InfraGard/CyD
		Evid. Purchase			Phone Toll Rec			Tech Resp Unit			OFC/CID
		Inf/CW Info			UCO - Group I			For. Lang Asst.			PPP
		Lab. Div. Exam			UCO - Group II			Non FBI Lab Ex			Fusion Centers

b6
b7C
b7E

A. Complaint / Information/ Indictment

☐ Federal ☐ Local ☐ International

Complaint Date: _____

Check if Civil Rico Complaint ☐

Information Date: _____

Indictment Date: _____

B. Locate / Arrest

☐ Federal ☐ Local ☐ International

Subject Priority: ☐ A ☐ B ☐ C

Locate Date: _____

Arrest Date: _____

☐ Subject Resisted Arrest

☐ Subject Arrested was Armed

C. Summons Date: _____

☐ Federal ☐ Local

D. Recovery / Restitution / PELP X

☐ Federal ☐ Local ☐ International

Recovery Date: _____

Code • _____ ✓ Amount $ _____

Code • _____ ✓ Amount $ _____

Restitution Date: _____

☐ Court Ordered ☐ Pretrial Diversion

Code • _____ ✓ Amount $ _____

PELP Date: _____

Code • _____ ✓ Amount $ _____

E. Hostages(s) Released Date: _____

Released by: ☐ Terrorist ☐ Other

Number of Hostages: _____

F. Conviction

☐ Federal ☐ Local ☐ International

Conviction Date: _____

Subject Description Code _____ • (_____) •

For 6F, G, H-Include Agency Code

☐ Felony or ☐ Misdemeanor

☐ Plea or ☐ Trial

State: _____ Judicial District: _____

G. U.S. Code Violation

Required for sections A, B, F and J (Federal Only)

Title	Section	# Counts

H. Sentence Date: _____

Sentence Type: _____, _____, _____ •

In Jail: Years _____ Months _____

Suspended: Years _____ Months _____

Probation: Years _____ Months _____

Fines: $ _____

I. Disruption/Dismantlement: ✓

Disruption Date: _____

Dismantlement Date: _____

Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: _____

Also complete "Section G"

Other Civil Matters Date: _____

Judgment _____ _____ _____ _____ •

Judicial Outcome _____ _____ _____ _____ • x

Amount $ _____

Suspension: Years _____ Months _____

K. Administrative Sanction Date: _____

Subject Description Code _____ •

Type: ☐ Suspension ☐ Debarment ☐ Injunction

Length: ☐ Permanent or Year _____ Months _____

L. Asset Seizure Date: 02/08/2011

Asset Forfeiture Date: _____

CATS # Mandatory 11-FBI-001680

Circle below one of the three asset forfeiture:

Admin, Civil Judicial, or (Criminal)

Do not indicate $ value in Section D

M. Acquittal/ Dismissal/ Pretrial Diversion

(Circle one) Date: _____

N. Drug Seizures ✓ Date: _____

Drug Code • _____

Weight _____ Code • _____

FDIN _____

Do not indicate $ in Section D

O. Child Victim Information

Child located / identified Date: _____

☐ Living ☐ Deceased

P. Subject Information - Required for all blocks excluding block D (Recovery/PELP), blocks E, I, L and N

Name	Race •	Sex	Date of Birth	Social Security No. (if available)

For Indictments/Convictions only:

☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Canbbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.

☐ Subject related to an OC/Drug organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

Serial No. of FD-515: 4/1

x Additional information may be added by attaching another form or a plain sheet of paper for additional entries.

• See codes on reverse side.

✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1 m and PELP over $5 m, disruption, dismantlement, and drug seizures.

290-DL-110167-4/1

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.
Revised 12-19-2006

PROPERTY CODES

01	Cash
02	Stocks, Bonds or Negot. Instruments
03	General Retail Merchandise
04	Vehicles
05	Heavy Machinery & Equipment
06	Aircraft
07	Jewelry
08	Vessels
09	Art, Antiques or Rare Collections
11	Real Property
20	All Other

SENTENCE TYPES

CP	Capital Punishment
JS	Jail Sentence
LP	Life Parole
LS	Life Sentence
NS	No Sentence (Subject is a Fugitive, Insane, has died, is a Corporation or must pay fine only)
PB	*Probation*
SJ	Suspension of Jail Sentence
YC	Youth Correction Act

PELP CODES

22	Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23	Counterfeit/Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft From or Fraud Against Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other

RACE CODES

A	Asian/Pacific Islander
B	Black
I	Indian/American
U	Unknown
W	White
X	Nonindividual

AGENCY CODES

AFOSI	Air Force Office of Special Investigations
ACIS	Army Criminal Investigative Service
BATF	Bureau of Alcohol, Tobacco & Firearms
BIA	Bureau of Indian Affairs
CBP	Customs and Border Protection
DCAA	Defense Contract Audit Agency
DCIS	Defense Criminal Investigative Service
DEA	Drug Enforcement Administration
DOC	Department of Corrections
DOI	Dept. of Interior
DHS	Dept. of Homeland Security
EPA	Environmental Protection Agency
FAA	Federal Aviation Administration
FDA	Food and Drug Administration
HHS	Dept. of Health & Human Services
HUD	Dept. of Housing & Urban Development
ICE	Immigration and Customs Enforcement
IRS	Internal Revenue Service
NASA	Nat'l Aeronautics & Space Admin
NBIS	Nat'l NARC Border Interdiction
NCIS	Naval Criminal Investigative Service
RCMP	*Royal Canadian Mounted Police*
SBA	Small Business Administration
USCG	U.S. Coast Guard
USDS	U.S. Department of State
USMS	U.S. Marshals Service
USPS	U.S. Postal Service
USSS	U.S. Secret Service
USTR	*U.S. Treasury*
LOC	Local
CITY	City
COUN	County
ST	State
OTHR	Other

JUDGMENT CODES

CJ	Consent Judgment
CO	Court Ordered Settlement
DF	Default Judgment
DI	Dismissal
JN	Judgment Notwithstanding
MV	Mixed Verdict
SJ	Summary Judgment
VD	Verdict for Defendant
VP	Verdict for Plaintiff

JUDICIAL OUTCOME

AG	Agreement
BR	Barred/Removed
CC	Civil Contempt
DC	Disciplinary Charges
FI	Fine
PI	Preliminary Injunction
PR	Temporary Restraining Order
PS	Pre-filing Settlement
RN	Restitution
SP	Suspension
VR	Voluntary Resignation
OT	Other

SUBJECT PRIORITY

A	Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years.
B	Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.
C	All other subjects.

DRUG CODES

COC	Cocaine
HER	Heroin
HSH	Hashish
KAT	Khat
LSD	LSD
MAR	Marijuana
MDM	*Methylenedioxymethamphetamine*
MET	Methamphetamine
MOR	Morphine
OPM	Opium
OTD	Other drugs

DRUG WEIGHT CODES

GM	Gram(s)
KG	Kilogram(s)
L	Liter(s)
ML	Milliliter(s)
P	Plant(s)
DU	Dosage Unit(s)

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F	Boss
1G	Underboss
1H	Consigliere
1J	Acting Boss
1K	Capodecina
1L	Soldier

KNOWN CRIMINALS

2A	Top Ten or I.O. Fugitive
2B	Top Thief
2C	Top Con Man

FOREIGN NATIONALS

3A	Legal Alien
3B	Illegal Alien
3C	Foreign Official W/out Diplomatic Immunity
3D	U.N. Employee W/out Diplomatic Immunity
3E	Foreign Student
3F	All Others

TERRORISTS

4A	Known Member of a Terrorist Organization
4B	Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D	President
5E	Vice-President
5F	Treasurer
5G	Secretary/Treasurer
5H	Executive Board Member
5I	Business Agent
5J	Representative
5K	Organizer
5L	Business Manager
5M	Financial Secretary
5N	Recording Secretary
5P	Office Manager
5Q	Clerk
5R	Shop Steward
5S	Member
5T	Trustee
5U	Other

GOVERNMENT SUBJECTS
(6F, 6G, 6H- Include Agency Code)

6A	Presidential Appointee
6B	U.S. Senator/Staff
6C	U.S. Representative/Staff
6D	Federal Judge/Magistrate
6E	Federal Prosecutor
6F	Federal Law Enforcement Officer
6G	Federal Employee - GS 13 & Above
6H	Federal Employee - GS 12 & Below
6J	Governor
6K	Lt. Governor
6L	State Legislator
6M	State Judge/Magistrate

Continuation of GOVERNMENT SUBJECTS

6N	State Prosecutor
6P	State Law Enforcement Officer
6Q	State - All Others
6R	Mayor
6S	Local Legislator
6T	Local Judge/Magistrate
6U	Local Prosecutor
6V	Local Law Enforcement Officer
6W	Local-All Others
6X	County Commissioner
6Y	City Councilman

BANK EMPLOYEES

7A	*Bank Officer*
7B	Bank Employee

OTHERS

8A	All Other Subjects
8B	Company or Corporation

CHILD PREDATORS

9A	Child Care provider
9B	Clergy
9C	Athletic Coach
9D	Teacher/Aide
9E	Law Enforcement Personnel
9F	Counselor
9G	Relative
9H	Stranger
9I	Other

CASE

Office ID:	Sub-Office ID:	Program Code:	GDEP:	Szr Event/Exhibit #:	Delay Forfeiture?
3190		05		3190110046	N

Case Number:	Case Name:
029O-DL-110167	[redacted] Bank of New York Mellon; FIF
Operation Name:	Case Agent: [redacted]

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b7C

SEIZURE

Seizure Date:	FIRE Begin Date:	FIRE End Date:	FIRE Type:	Seizure Method:	Indictment Date:	Adoption Date:
	02/08/11		Indicted		02/08/11	

Seizing Agent:	Phone Number:

Seized From:

Place/Address Where Seized:	City:
	State:

Judicial Seizure:	District Processing:	Primary Forfeiture Statute:	Primary Violation Statute:
	TXN	18 USC 982	18 USC 656

ALL ASSET TYPES

Asset Value at Seizure:	Abve Min Thrshld?	Appraisal Date:	Asset Type/Asset Sub-Type:	Forfeiture Type:
0.00	Y		Real Property/Farm/Ranch	Criminal

Asset Description:	Victims Anticipated?
[redacted]	Y

b6
b7C

Liens Exists?	Expenses Incurred?	Hold as Evidence?	Sz From Includes Agent?	Expedited Release Notice Served?	Special Handling Code(s):
N	N	N		N	

HIDTA Case?	SARs Case?	Forfeiture-Dependent Award?	Quick Release Performed?	Intra-Agency Assistance?	Additional Agencies?
N	N	N	N	N	N

Suitable for Official Use?	OCDETF Case?	Parallel Processing?	Sharing Anticipated?	Intl Sharing?	Restitution Case Anticipated?
N	N	N	N	N	Y

COMPLETE IF APPLICABLE

Make:	Model:	Style:	Year Manufactured:	Color/Finish:

VIN/Serial Number/Fiscal Control #:	Condition Code:	NCIC Search Results Positive?
	Good	

License Number:	Year:	State:	Country:	Concealed Compartment?	Photos?
					Y

Special Equipment or Accessories?	Damage Present?	Caliber:
N	N	

PARTY [redacted]

b6
b7C

[redacted]	Start: 02/14/11	End:

Address: [redacted]

City: [redacted]	State: [redacted]	Zip Code: [redacted]	Country:
			USA

Contact Name (if business):	Phone Number(Contact):

Phone Number:	Party Type(s):
	Owner

Notice Due Date:	Lien Amount Held By Party ($):	Quick Release Recipient?	Quick Release Date:	Expenses Reimbursed ($):

b6
b7C

02/24/2011 ********** SEIZURE **********

~~SENSITIVE~~/ UNCLASSIFIED

Case Number: 290-DL-110167
Serial No.: 41

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/24/2011
Accom Date.: 02/08/2011

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b7C
b7E

Does Accomplishment Involve		Assisting Agents SOC	Subject Name
Drugs	N		
A Fugitive	N		
Bankruptcy Fraud	N		
Computer Fraud/Abuse	N		
Corruption of Public Officials	N		
Money Laundering	N		

RA	Squad	Task Force
HQ	C-1	

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB FIELD SUP	UCO - NAT BACK	VICT-WITN COOR
AIRCRAFT ASST	PEN REGISTERS	NCAVC/VI-CAP	IO WANTED FLYR
COMPUTER ASST	PHOTO COVERGE	CRIM/NS INTEL	SARS
CONSEN MONITR	POLYGRAPH	CRIS NEG-FED	CART
ELSUR/FISC	SRCH WAR EXEC	CRIS NEG-LOC	ASSET FORF PRO
ELSUR/III	SHOW MONEY	ERT ASST	FORF SUPPORT P
ENG FIELD SUP	SOG ASST	BUTTE OSC	TFOS/CTD
ENG TAPE EXAM	SWAT TEAM	SAV OSC	CXS/CTD
LEGATS ASST.	TECH AG/EQUIP	POC SC	INFRAGARD/CYD
EVIDNCE PURCH	TEL TOLL RECS	FT. MON-NRCSC	OFC/CID
INFORMANT/CW	UCO-GROUP I	FOR LANG ASST	PPP
LAB DIV EXAMS	UCO-GROUP II	NON FBI LAB EX	FUSION CENTERS

TYPE OF SEIZURE: CRIMINAL
DATE OF ACCOMPLISHMENT: 20110208
CATS #: 11-FBI-001680

Accomplishment Narrative